

Department of Planning and Development

DAVID N. CICILLINE
Mayor

December 4, 2003

The Honorable City Council
Office of the City Council
25 Dorrance Street
Providence, RI 02903

The Honorable City Council,

Please find attached a copy of the City's Consolidated Annual Planning and Evaluation Report (CAPER) for the HUD consolidated programs. The report summarizes the City's progress in obtaining the goals set forth in the Consolidated Planning Strategy. A copy of the report will be forwarded to the Providence Public Library and will be available on the City's website.

Please call me if I can provide you with further information. There is no City Council action required by HUD in regard to this report.

Sincerely,

A handwritten signature in black ink, appearing to read "Amintha K. Cinotti".

Amintha K. Cinotti
Deputy Director – Development

Cc: Deller
Grant
Mello

IN CITY COUNCIL
DEC 18 2003

READ

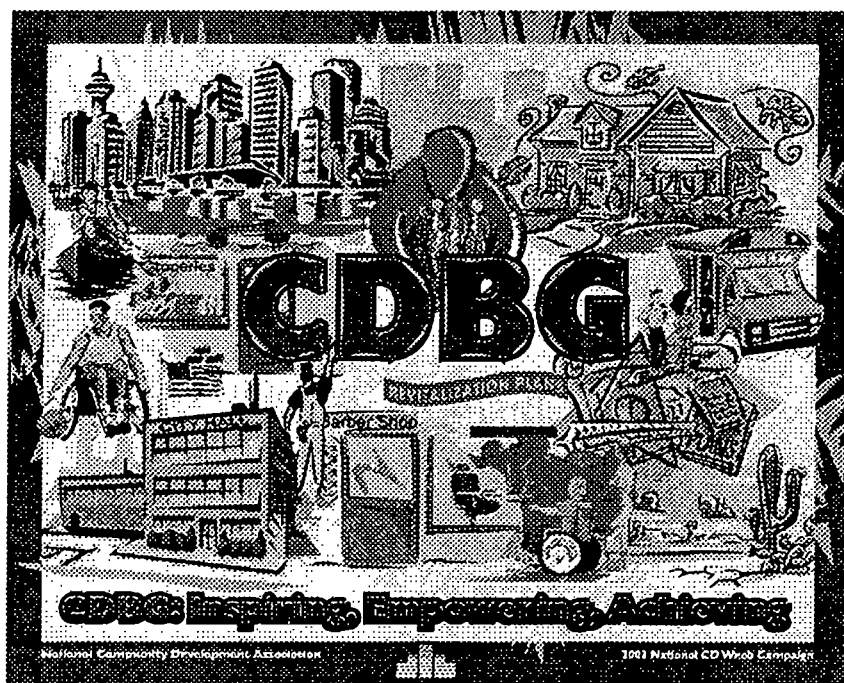
WHEREUPON IT IS ORDERED
THAT THE SAME BE RECORDED

A handwritten signature in black ink, appearing to read "Michael R. Clement".

City of Providence, RI



CONSOLIDATED ANNUAL PLANNING and EVALUATION REPORT



David N. Cicilline, Mayor
December 5, 2003

Staff

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Section One

City of Providence

Department of Planning and Development

Consolidated Annual Planning and Evaluation Report - Community Development Block Grant, HOME, Emergency Shelter and Housing for Persons with AIDS Grants

Fiscal Year 2002/2003

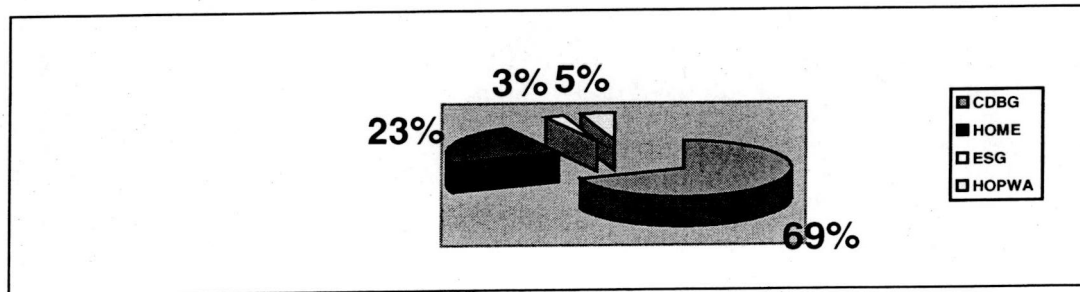
Program Year Twenty-eight

Introduction

The City of Providence utilizes its entitlement funding from the Department of Housing and Urban Development to work towards meeting the priority needs that have been enumerated in the City's Comprehensive Planning Strategy developed in 2000 to cover a 5 year period. The City of Providence received funding from four separate sources in the 28th program year – the Community Development Block Grant, the HOME program, the Emergency Shelter Grant and the Housing for Persons with AIDS program (HOPWA).

These programs are used in concert to meet the prioritized housing needs – rehabilitation of units, rental assistance, homebuyer assistance, new construction, acquisition for development and supportive services and units for special needs populations – as well as the nonhousing community development goals of neighborhood revitalization through infrastructure and capital improvements, strategies for promoting economic development activities, the provision of a balanced array of social services and the enhancement of the City's planning and management capacity. In addition to the above named entitlement grants the City also administers the Housing for Persons with Aids (HOPWA) grant for the regional

catchment area which includes all of Rhode Island (excluding Newport County) and the majority of Bristol County, Massachusetts.



Public Process and Administration

The public process utilized to allocate funds is extensive and wide-ranging. The community is acutely aware of the existing programs and the opportunities that they provide. In YR 28 applications for **CDBG a fund totaled \$14,232,456 – 53% more than was available.** Requests for proposals for the CDBG, ESG and HOPWA programs were advertised in January in the Providence Journal, Latino Prestigo and the Providence American. Applications were then processed by the staff and forwarded to the City Council's Urban Renewal, Redevelopment and Planning Committee (URRP) for review and comment. No comments were received from the URRP Committee.

In 2002 DPD held three public hearings to solicit input from the public, applicants and parties of interest. The final public hearing was held on April 18, 2002. No public comment was received at the hearing and Mayor Vincent A. Cianci subsequently signed the application after due consideration.

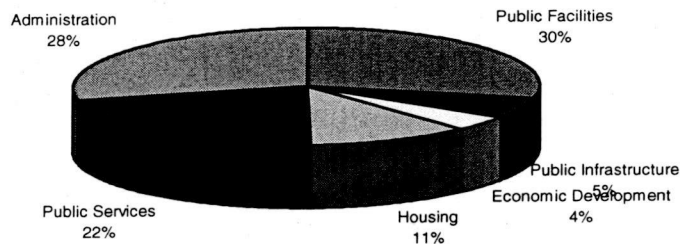
The Department of Planning and Development (DPD) administers all aspects of the CDBG, HOME, ESG and HOPWA program. The City has been awarded a Lead Abatement grant that is administered by DPD and targeted to low-income neighborhoods. The Lead Grant is comprised of a loan program and an education program (Healthy Homes).

COMMUNITY DEVELOPMENT BLOCK GRANT

The Community Development Block Grant is comprised of five main programs. These programs include Housing, Public Infrastructure, Public Services, Public Facilities, and Economic Development. The table below and accompanying graphic illustrate the dollars and percentage of resources devoted to each of these major categories.

Allocation of Community Development Block Grant Resources

CDBG Budget 28th Year



| Activity | Allocation |
|-----------------------|------------------------|
| Public Facilities | \$ 2,034,113.00 |
| Public Infrastructure | \$385,00.00 |
| Economic Development | \$ 300,000.00 |
| Housing | \$ 805,479.00 |
| Public Services | \$1,548,000.00 |
| Administration | \$2,014,408.00 |
| | |
| Total | \$ 7,087,000.00 |

Section Two

Housing

Working in cooperation with a network of neighborhood based Community Development Corporations. The City has completed 40 units of housing for low and moderate-income families during the fiscal year ending June 30, 2003. 138 units were completed in Year 27. This year developers produced 98 units less than were completed last year.

Much of the significant reduction in unit production from last is because of two cyclical reasons.

1. This year saw no completed tax credit financed units.
2. Last year saw 4 large multi-unit tax credit financed housing projects completed.

The City is five years into addressing the need for increased productivity, the attainment of the goals they have set individually and their general obligations as a CDBG/HOME assisted agency.

The following chart and graph illustrate the location and household characteristics of the units produced by the seven Community Development Corporations responsible for units in Year 28.

Housing Units Completed and Occupied in Year 28
July 1, 2002 – June 30, 2003

HOUSEHOLD CHARACTERISTICS

| Developer | Unit Address | # B E D R O O M S | O W N E R O C C U P I E D Y / N | % Area Median 1 0-30% 2 31- 50% 3 51- 80% 4 81%+ | Head of House Hold 3-Black African Am. 5-White 10-Other Multi- Racial <i>Hispanic</i> (Y/N) | Head of Household 1-Single non-elder 2-Elderly 3-S. Parent 4-Parent 5-Other | # in H O U S E H O L D |
|-------------------------|------------------|---|--|--|--|--|--|
| E Foundation | 185 Congress Av. | 2 | Y | 3 | 3 (N) | 2 | 1 |
| E Foundation | 344 Niagara St. | 2 | Y | 3 | 3 (N) | 5 | 2 |
| E Foundation | 95 Dabol St. #1 | 3 | N | 3 | 3 (N) | 4 | 5 |
| E Foundation | 95 Dabol St. #2 | 3 | Y | 3 | 5 (Y) | 4 | 4 |
| Habitat for Humanity | 373A Potters Av. | 3 | Y | 2 | 5 (Y) | 3 | 4 |
| Habitat for Humanity | 373B Potters Av. | 3 | Y | 2 | 5 (Y) | 3 | 2 |
| Habitat for Humanity | 57 Putnam St. | 3 | Y | 2 | 5 (Y) | 3 | 2 |

| Developer | Unit Address | # B E D R O O M S | O W N E R O C C U P I E D Y / N | % Area Median 1 0-30% 2 31- 50% 3 51- 80% 4 81%+ | Head of House Hold 3-Black African Am. 5-White 10-Other Multi- Racial <i>Hispanic (Y/N)</i> | Head of Household 1-Single non-elder 2-Elderly 3-S. Parent 4-Parent 5-Other | # in H O U S E H O L D |
|----------------------|------------------------|---|--|--|---|--|--|
| Habitat for Humanity | 59 Putnam St. | 3 | Y | 2 | 5 (Y) | 4 | 6 |
| Habitat for Humanity | 61 Putnam St. | 3 | Y | 2 | 5 (Y) | 4 | 5 |
| Habitat for H | 124 Potters Av. | 3 | Y | 2 | 10 (N) | 4 | 5 |
| Habitat for Humanity | 122 Potters Av. | 3 | Y | 2 | 5 (N) | 4 | 5 |
| PPS R. Fund | 64 Oak St. #2 | 2 | Y | 3 | 5 (N) | 5 | 2 |
| PPS R. Fund | 1403 Westminster St.#1 | 4 | N | 2 | 5 (N) | 1 | 1 |
| PPS R. Fund | 1403 Westminster St.#2 | 4 | N | 4 | 5 (N) | 1 | 1 |
| PPS R. Fund | 1403 Westminster St.#3 | 4 | N | 4 | 5 (N) | 1 | 1 |
| PPS R. Fund | 1403 Westminster St.#4 | 4 | N | 4 | 5 (N) | 1 | 1 |
| PPS R. Fund | 1403 Westminster St.#5 | 4 | N | 4 | 5 (N) | 1 | 1 |
| PPS R. Fund | 22 Chapin St. | 2 | Y | 2 | 5 (N) | 1 | 1 |
| PPS R. Fund | 73 Willow St. #1 | 2 | Y | 4 | 5 (N) | 5 | 2 |
| PPS R. Fund | 334 Broadway | 2 | Y | 3 | 5 (N) | 4 | 2 |
| PPS R. Fund | 78 Willow St. | 2 | N | 4 | 5 (N) | 1 | 1 |
| PPS R. Fund | 30-32 Kenyon St. | 2 | Y | 3 | 5 (N) | 1 | 51 |
| PPS R. Fund | 34 Hudson St. #1 | 2 | Y | 3 | 5 (N) | 4 | 4 |
| PPS R Fund | 87 Comstock St. | 4 | Y | 2 | 3 (N) | 4 | 5 |
| PPS R Fund | 61 Chapin St. | 2 | Y | 4 | 5 (N) | 5 | 2 |
| PPS R Fund | 20 Moore St. | 4 | Y | 4 | 5 (N) | 5 | 2 |
| PPS R Fund | 18-20 Luongo Sq. | 3 | N | 4 | 5 (N) | 1 | 1 |
| PPS R Fund | 451 Broadway | 4 | Y | 3 | 5 (N) | 4 | 4 |
| PPS R Fund | 64 Princeton Av. | 2 | Y | 4 | 3 (N) | 4 | 4 |
| SWAP | 116 Providence St. | 4 | Y | 3 | 3 (N) | 4 | 5 |

| Developer | Unit Address | # B E D R O O M S | O W N E R O C C U P I E D Y / N | % Area Median 1 0-30% 2 31- 50% 3 51- 80% 4 81%+ | Head of House Hold 3-Black African Am. 5-White 10-Other Multi- Racial <i>Hispanic (Y/N)</i> | Head of Household 1-Single non-elder 2-Elderly 3-S. Parent 4-Parent 5-Other | # in H O U S E H O L D |
|-----------|---------------------|---|--|--|---|--|--|
| SWAP | 26 Frank St. | 3 | Y | 3 | 3 (N) | 4 | 4 |
| SWAP | 397 Pine St. | 3 | Y | 3 | 5 (N) | 1 | 1 |
| SWAP | 101 Byfield St. #1 | 3 | Y | 3 | 3 (N) | 3 | 2 |
| SWAP | 101 Byfield St. #2 | 2 | Y | 3 | 3 (N) | 1 | 2 |
| SWAP | 97 Byfield St. #1 | 3 | Y | 1 | 10 (Y) | 3 | 2 |
| SWAP | 97 Byfield St. #2 | 2 | Y | 2 | 10 (Y) | 1 | 1 |
| SWAP | 186 Ocean St. | 4 | Y | 3 | 3 (N) | 1 | 1 |
| SWAP | 430 Friendship St. | 2 | Y | 3 | 5 (N) | 5 | 2 |
| SWAP | 111 Colfax St. | 3 | Y | 3 | 3 (N) | 1 | 1 |
| SWAP | 2 Gallup St. | 3 | Y | 3 | 10 (Y) | 4 | 4 |
| W Elmwood | Ware Court #1 | 2 | Y | 3 | 3 (N) | 4 | 3 |
| W Elmwood | 47 Vineyard St. #1 | 2 | Y | 1 | 5 (N) | 1 | 1 |
| W Elmwood | 208 Linwood Av. #1 | 2 | N | 1 | 10 (Y) | 3 | 2 |
| W Elmwood | 57 Waverly St. #1 | 3 | Y | 2 | 5 (N) | 3 | 4 |
| W Elmwood | 57 Waverly St. #2 | 3 | N | 2 | 10 (Y) | 3 | 4 |
| W Elmwood | 204 Bellevue Av. #1 | 3 | N | 2 | 10 (Y) | 4 | 5 |
| W Elmwood | 204 Bellevue Av. #2 | 3 | Y | 3 | 10 (Y) | 4 | 4 |
| W Elmwood | 39 Brattle St. #1 | 3 | Y | 2 | 10 (Y) | 3 | 3 |
| W Elmwood | 30 Halton St. #1 | 3 | Y | 3 | 10 (Y) | 3 | 2 |
| W Elmwood | 146 Peace St. #1 | 5 | Y | 1 | 5 (N) | 1 | 1 |
| | | | | | | | |

**Household Characteristics
Summary-Yr 28
(Comparison-Yr. 27)
July 1, 2002 – June 30, 2003**

Developers: 5 (7)

Units: 50 (146)

Average number of bedrooms: 2.9 (2.6)

Owner occupied units: 39 (30)

Rental units: 11 (116)

Percent of area median income:

0-30%: 4 (26)

31-50%: 15 (43)

51-80%: 21 (69)

81+ %: 10 (08) (PPS Revolving fund)

Head of Household:

Single non-elder: 16 (21)

Elderly: 01 (03)

Single Parent: 10 (87)

Parent: 17 (20)

Other: 06 (16)

Head of Household:

White: 13 (14)

Black: 12 (67)

Hispanic: 15 (59)

Asian/Island: 0 (03)

Other: 10 (03)

Number in Household:

One: 10 (17)

Two: 14 (34)

Three: 02 (40)

Four: 10 (37)

Five or more: 09 (18)

The City of Providence provides for affordable housing opportunities - through various agencies on a citywide basis - to low and moderate income citizens. Through these organizations the City provides:

Rehabilitation assistance to homeowners and home buyers for single/multifamily rehabilitation, rental rehabilitation, first time homebuyer opportunities, lead paint removal programs and support services such as contract supervision and inspection services.

Homebuyer education is also an important service offered by city housing agencies.

SWAP (Stop Wasting Abandoned Property) completed 10 units of new construction and rehabilitated housing. All of the units produced this year provide affordable owner occupied housing for families. SWAP has produced one out of every 5 affordable units completed in Providence for Year 28. These units are mostly new construction one and two family homes. They, along with units SWAP has produced in the past 5 years, constitute the backbone of the affordable housing creation in South Providence.

The West Elmwood Housing Development Corporation seeks to provide a balance of housing services to the West End neighborhood it serves. These services include affordable rental housing, as well as homeownership opportunities. 10 units were produced by WEHDC this year. Existing neighborhood residents are offered home repair loans with professional construction over site. WEHDC also offers an extensive homebuyer education program.



Habitat for Humanity, using mostly volunteer labor and substantial donations of materials, completed 7 units of new construction in

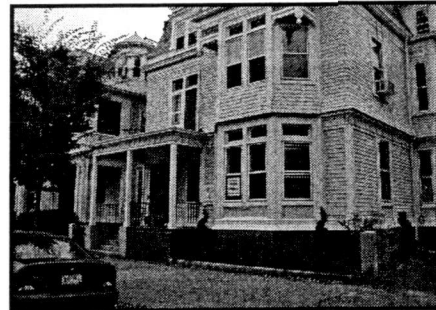


Year 28. Habitat works in the focus areas of Olneyville's Putnam Street area and South Providence's Potters Avenue. The volunteer organization has developed from building one house per year in the 1990s to now making a major affordable housing contribution in the neighborhoods where it works.

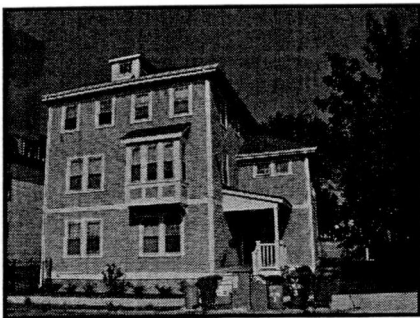
Elmwood Foundation rehabilitated 3 homes with a total of four affordable units.



This year finds EF is between two large affordable rental projects.



Elmwood Neighborhood Revitalization II, its newest, large affordable rental project is now near completion.



The Providence Preservation Society Revolving Fund continues to work in the City's National



Register Historic Districts. The Revolving Fund provides construction loans to residents within these districts for

rehabilitation. Loan recipients also receive construction expertise to oversee the project from beginning to completion. City residents benefiting from these services are mixed income with the only qualifying criteria being that they reside within a recognized historic district.

PPS Revolving Fund offers consulting services city-wide in historic districts. Currently, it is a partner in a major affordable housing project in the Elmwood Historic District. The multi-house project will provide a mix of affordable rental and homeownership opportunities. The project is expected to be occupied by June, 2004.

Performance Formula Funding

Performance Formula Funding was introduced in 1998 at the direction of the Providence City Council. After six months of collaboration between members of the community development partners and key staff members from the City housing division, the Performance Formula became reality.

The Performance Formula weighs different housings activities according to the degree of expense and effort. The "weighted" units are then applied to the formula over a period of the three most recently completed years to allow for the vagaries of the planning and construction cycle. The "weighted" units produced by all participants citywide are divided by the total CDBG allocation.

At the request of the City Council UURP Committee, additional "weight" is given to units produced in owner-occupied homes to encourage owner-occupancy. Home ownership is seen to be a major contributor to neighborhood stability. The Performance Formula also encourages the hiring of 10% Minority Business Enterprises and 10% Women Business Enterprises.

The City is working with the CDCs by providing assistance to them to increase production, allowing them to meet the goals that were set in their contracts. As previously stated, the City has met with these agencies through out the past year to impart to them the desire to see more units produced and the ability to leverage additional sources of funding. CDBG and HOME should not be the only funding that is utilized. Several organizations have taken the initiative and now derive funding from LISC, RI Housing, local banks and low-income housing tax credits.

Accomplishments and Evaluation

Housing production for Year 28 decreased substantially from Year 27. The production of affordable units decreased by 98 units in Year 28. A factor in the decrease of units is tax credit funding cycles. Start up periods for tax credit deals are often lengthy and time consuming. The completion of these projects seems to arrive in "batches," with Year 27 producing 4 large completed tax credit projects. Year 28 produced no completed tax credit projects.

The Performance Formula Funding mechanism, as expected, has increased the number of units on line over the five period of implementation. After evaluation by staff at the completion of Year 28, the indications are that it is still effective. The expectation is that 3 or 4 developers receiving the bulk of the funds will increase productivity accordingly in the long term.

It continues to be clear that a few CDCs are producing increased units while others are demonstrating little or no unit production. Some CDCs, such as Olneyville Housing Corporation and Elmwood Foundation are improving, but are dependent on large tax credit projects for units. Both have major projects underway. Smith Hill

Community Development Corporation is in a planning stage in Year 28 with a few completed units anticipated for Year 29.

The Production Formula causes CDBG funding to continue to decline for several non-producing non-profits. The point seems to be nearing for these non-producing organizations, where funding will be eliminated entirely.

The successful (productive) CDCs experiencing significant increases in CDBG funding now have the additional funds to expand staffing and therefore their production capacity should reflect the staff expansion.

The problem that remains is not all low/moderate income neighborhoods are served by healthy community development corporations. These neighborhoods' housing needs lag behind others that are better served by more successful strategies.

Public Facilities and Capital Improvements

The City expended Community Development Block Grant funds on several public infrastructure projects during fiscal year 2002/2003. The City's objective in public infrastructure projects is to revitalize the streetscape, making an area more comfortable, attractive and safe for neighborhood residents and visitors while encouraging new development and investment. Allocations of CDBG dollars also go to community and service centers to address ADA compliance issues, new program requirements and building expansion.

Major work continues on the Southside – particularly on Broad Street and Elmwood Avenue, major entryways into the City. Business development, housing rehabilitation and development and general infrastructure improvements in the adjacent residential areas are components of the overall revitalization plan. \$441,000 in capital improvements funding assisted seven important community service centers/economic development initiatives –Dorcas Place, Providence City Arts for Youth (City Arts), South Providence Development Corporation, CleanScape, Providence Public Library, Socioeconomic Development for SE Asians and RI Indian Council (Algonquin House) as well as related neighborhood improvements.

The largest project during the YR 28 was the major renovation and ADA complinace work at the South Providence Branch Library

located at 441 Prairie Avenue.



This branch library has the highest useage rate in the city and with the exploding Southside population it was critical to update this neighborhood facility.

Located in the Federal Hill neighborhood, Federal Hill House Assocation received \$15,000 to renovate and expand the playground

adjacent to their 9 Coutland Street headquarters.



Approximately 120 children use the play area which serves the preschool and after school programs that have been a main stay of the Federal Hill House programing. Federal Hill House has been steadily expanding these programs due to the increase demand for these services in the neighborhood.

The Mount Hope Learning Center is in the third year of a four year multi-year commitment to assit in subsatntial rehabilitation to their proerty locates at 140 Cypress Street in the Mount Hope neighborhood. MHLC is a new service provider to this neighborhood and provides intensive coaching in literarcy skills, commputer and basic life skills to persons of all ages.



MHLC also houses the RI Attorney General's first Community Prosecutor, Brown University's Swearer Center for Public Service – Educational Technology Center and Computer lab, Vincent Brown Center after school tutoring program and the volunteer library and educational resource center. The area's community policing station is situated right around the corner.

The \$5 Million bond issue that was made available in 2002 has been used to supplement and leverage the City's CDBG and Housing funds. CDBG funds in the amount of \$385,000 were allocated in YR 28 to low income and blighted areas of the City.

Economic Development

The Providence Economic Development Corporation (PEDC) n/k/a Providence Economic Development Partnership, Inc. (PEDP), administers a low-interest revolving loan program, with rates set below the prime rate. This program assists start-up businesses as well as established industrial and commercial enterprises. The purpose of this program is to promote economic development and diversify the City's economic base by financing private development, implementing incentive programs that encourage employers to create and retain jobs, and providing opportunity for business growth and development.

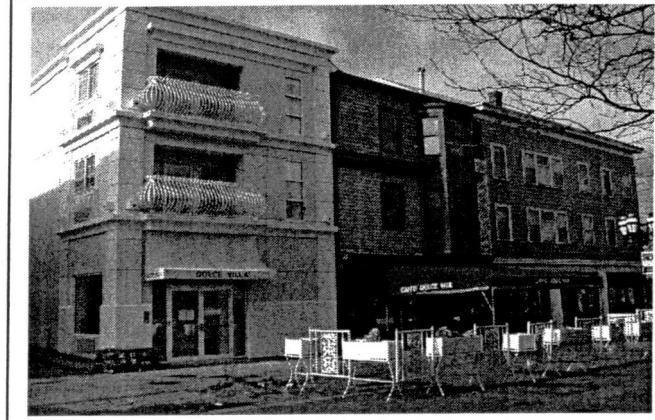
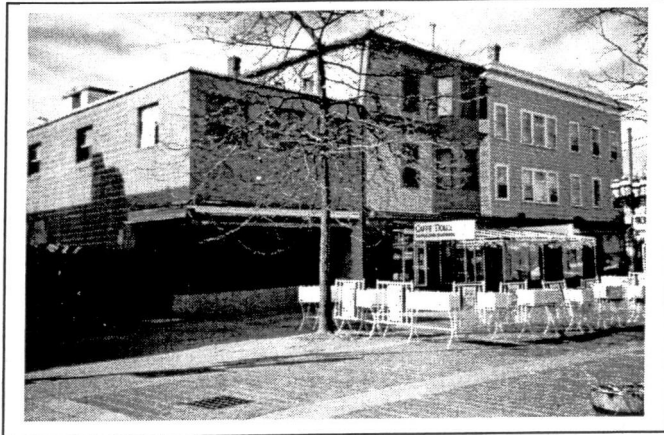
As a result of the PEDC's support of the business community, many indirect benefits are derived as well. Creating and retaining businesses not only helps to maintain the City's tax base, thereby reducing the property tax burden on residential property taxes, but also stimulates the local economy by generating disposable income for the City's residents. To that end, all PEDC loans that have been approved based on the job creation National Objective criteria are monitored every six (6) months for compliance with their contracted job creation goals. Businesses that are having difficulty meeting their goals are provided technical assistance by the Economic Development staff of the Department of Planning and Development.

During the fiscal year 2002/2003, the Providence Economic Development Corporation disbursed loans to 35 businesses. The economic development loans assisted these businesses to retain 52 jobs and 363 new jobs are to be created. Within this fiscal year, twenty-four (24) new loans were approved and twenty-seven (27) loans were closed during this time period.

Of the thirty-five (35) loans disbursed during the fiscal year 2002/2003, nineteen (19) loans were disbursed through the Section 108 loan pool, all of which were made to businesses located in low-income targeted areas of the City. The loans disbursed from the Section 108 funds have allowed for 68 new jobs to be created and 38 existing jobs to be retained.

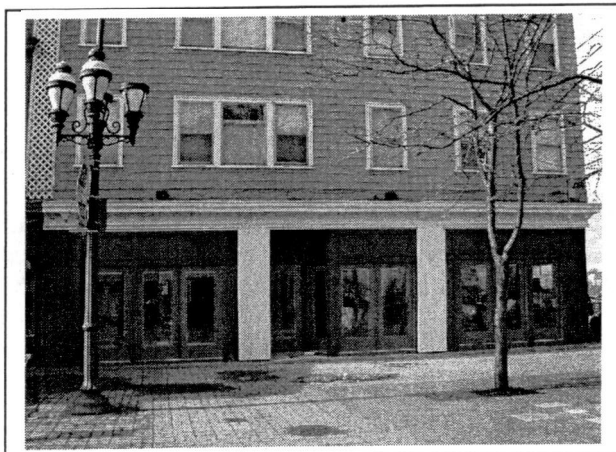
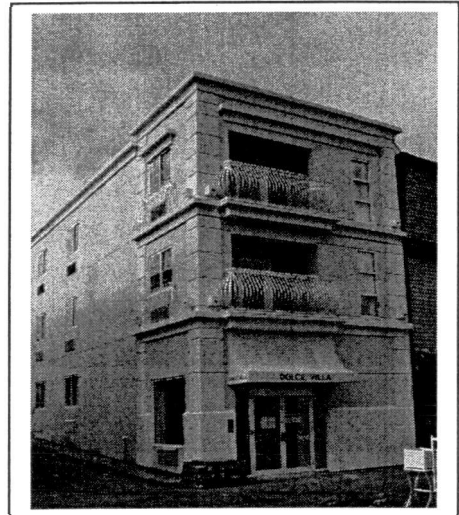
One of the recent projects assisted by the PEDC is the acquisition and rehabilitation of three (3) seriously deteriorated buildings located in the Federal Hill neighborhood of the City of Providence. These properties were in disrepair and were condemned by the Providence Redevelopment Agency (PRA). Through the assistance of PEDC, these properties were acquired and are in the process of being totally renovated for the re-adaptive use as an all-suite boutique hotel. This

project is located in an area that has been targeted for redevelopment by the PRA, and several other buildings located in this area have been rehabilitated as a result.



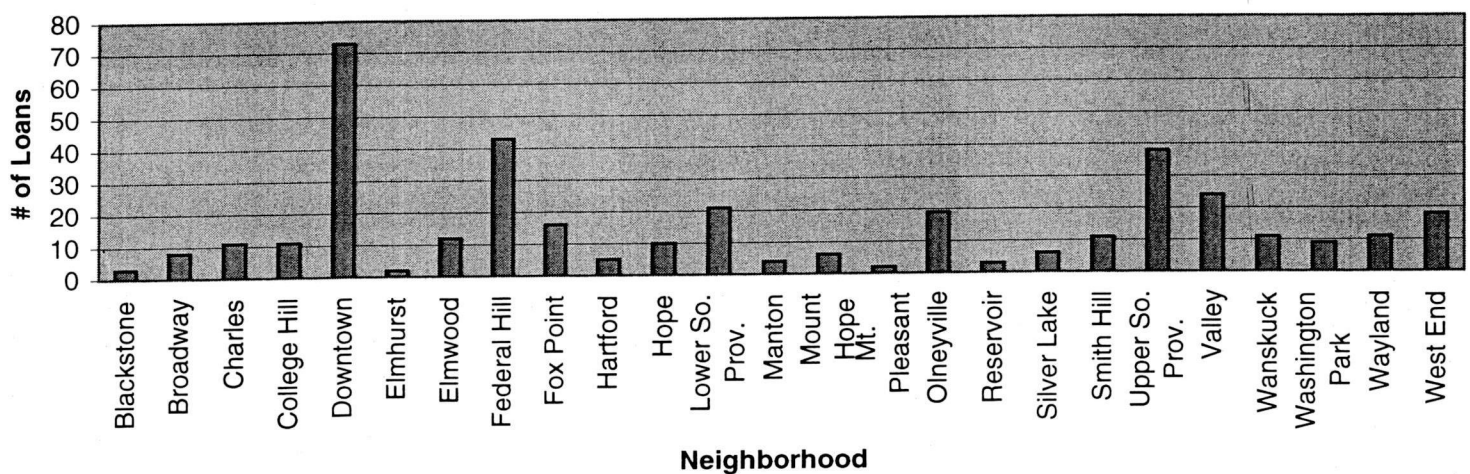
The total cost of this project was \$1,742,000.00, of which \$300,000.00 was financed through a PEDC loan, \$1,128,000.00 was financed through an area lending institution and \$314,000.00 has been injected into the project from the principal of the business. Through the assistance of this PEDC loan, it is anticipated that twenty-five new jobs will be created as a result of this completion of this project.

These buildings are located in the heart of Providence's Federal Hill, known as DePasquale Plaza. Also included as part of this project is the expansion of the Caffe Dolce Vita and the creation of a new business, Gepetto's Pizza. Both businesses are located in the acquired buildings. Caffe Dolce Vita, in operation since 1992, offers a light food menu combined with an extensive selection of fine desserts and coffees. The cafe is expanding from 1,000 square feet to 3,000 square feet. Gepetto's Pizza will also occupy a plaza level unit and will specialize in wood grilled pizza. The restoration of these buildings will compliment and enhance the charm and character of this destination's restaurant district.



Since 1991, PEDC has underwritten 377 loans that created a total of 3,358 new jobs and retained a total of 2,352 existing jobs. Total project funds, in the amount of \$132,147,963.00, have been invested throughout the city, with PEDC's participation totaling \$37,664,742.00, and private investment funds totaling \$94,483,221.00, which represents a 1:2.5 match of public and private investment.

PEDC Loans by Neighborhood



As the above graphic illustrates, all of the Providence neighborhoods have been served by the PEDC loan program. In the past year, 83% (29) of the PEDC loans went to businesses located in low-income targeted areas of the City.

PUBLIC SERVICE ACTIVITIES

Working with the Providence Community Action Program (PROCAP) the City supports a variety of Public Service programs with Community Development Block Grant funds. Funds are distributed throughout the city



Children of Roger Williams Daycare perform at a CD Celebration.

to the 35 primary service providers, which address elderly, youth, immigration and a myriad of additional issues. The community centers are the heart of the service network and are also the location of activities for the elderly and after school activities for young people. During fiscal 2002/2003 CDBG Public Service programs - \$1,548,000 - assisted 36,165 people. – An increase of over Year 27 of 41%. All funds expended benefited low and moderate-income persons and families.

In the last two years the following new agencies were added _ RI chapter of Amyotrophic Lateral Sclerosis Association (ALS) and the Women and Infants Family Medical Van. These agencies are helping to fill the void identified in the CPS for additional health care services.

Please see next page for tables detailing client characteristics.

| Act_Name | White | WhiteH | Black | BlackH | Asian | AsianH | AIAn | AIAnH | NHPI | NHPIH | AIANW | AIAnWH | AsianW | AsianWH |
|--|-------|--------|-------|--------|-------|--------|------|-------|------|-------|-------|--------|--------|---------|
| AMYPTROPHIC LATERAL SCLEROSIS ASSC (| 35 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| CAPITAL CITY COMMUNITY CENTER | 340 | 0 | 115 | 0 | 23 | 0 | 2 | 0 | 5 | 0 | 0 | 0 | 0 | 0 |
| CHISPA - PS | 5,416 | 5,001 | 785 | 0 | 0 | 0 | 64 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| COMMUNITY RESOURCE CENTER - (PS) | 75 | 49 | 10 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| DAVINCI CENTER (PS) | 778 | 56 | 230 | 0 | 275 | 0 | 21 | 0 | 82 | 0 | 0 | 0 | 0 | 0 |
| EAST SIDE/MT. HOPE YMCA (PS) | 13 | 11 | 38 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| ELMWOOD COMMUNITY CENTER-PS | 84 | 27 | 123 | 0 | 5 | 0 | 0 | 0 | 22 | 0 | 0 | 0 | 0 | 0 |
| FEDERAL HILL HOUSE (PS) | 253 | 17 | 48 | 0 | 13 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| FEDERAL HILL TUTORIAL (PS) | 38 | 2 | 74 | 0 | 19 | 0 | 0 | 0 | 3 | 0 | 0 | 0 | 0 | 0 |
| FRUIT HILL SENIOR DAY CARE SERVICES (PS) | 66 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| HARTFORD PARK COMMUNITY CENTER (PS) | 67 | 0 | 56 | 0 | 2 | 0 | 1 | 0 | 11 | 0 | 0 | 0 | 0 | 0 |
| HMONG UNITED ASSOCIATION - PS | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 500 | 0 | 0 | 0 | 0 | 0 |
| INTERNATIONAL INSTITUTE - (PS) | 252 | 220 | 55 | 0 | 0 | 0 | 11 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| JOHN FOGARTY CENTER - (PS) | 44 | 4 | 8 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| JOHN HOPE SETTLEMENT HOUSE - PS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| JOSLIN COMMUNITY CENTER - PS | 107 | 0 | 69 | 0 | 24 | 0 | 4 | 0 | 2 | 0 | 0 | 0 | 0 | 0 |
| KEEP PROVIDENCE BEAUTIFUL (PS) | 1,520 | 0 | 775 | 0 | 311 | 0 | 2 | 0 | 266 | 0 | 0 | 0 | 0 | 0 |
| MT. HOPE NEIGHBORHOOD CENTER (PS) | 33 | 26 | 50 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| NICKERSON COMMUNITIY CENTER - (PS) | 167 | 89 | 61 | 0 | 0 | 0 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| PRO-CAP FUEL ASSISTANCE - PS | 4,316 | 3,016 | 1,059 | 0 | 0 | 0 | 50 | 0 | 388 | 0 | 0 | 0 | 0 | 0 |
| PRO-CAP INTERIM HOUSE SHELTER - PS | 48 | 27 | 22 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| PRO-CAP SENIOR TRANSPORTATION - PS | 102 | 4 | 17 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| PROVIDENCE BLACK REPERTORY - PS | 11 | 0 | 45 | 0 | 0 | 0 | 7 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| PROVIDENCE COMMUNITY HEALTH CENTER | 386 | 0 | 250 | 0 | 0 | 0 | 2 | 0 | 39 | 0 | 0 | 0 | 0 | 0 |
| RHODE ISLAND DONATION EXCHANGE -(PS) | 328 | 267 | 74 | 0 | 0 | 0 | 0 | 0 | 4 | 0 | 0 | 0 | 0 | 0 |
| ROGER WILLIAMS DAY CARE CENTER (PS) | 20 | 0 | 124 | 0 | 0 | 0 | 14 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| SILVER LAKE COMMUNITY CENTER | 487 | 0 | 88 | 0 | 0 | 0 | 6 | 0 | 9 | 0 | 0 | 0 | 0 | 0 |
| SOUTH PROVIDENCE TUTORIAL - (PS) | 2 | 0 | 18 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| SOUTH SIDE COMMUNITY LAND TRUST -(PS) | 1,322 | 0 | 1,254 | 0 | 252 | 0 | 44 | 0 | 512 | 0 | 0 | 0 | 0 | 0 |
| TALBOT HOUSE | 59 | 23 | 0 | 0 | 0 | 0 | 1 | 0 | 2 | 0 | 0 | 0 | 0 | 0 |
| URBAN LEAGUE OF RHODE ISLAND (PS) | 35 | 0 | 115 | 0 | 0 | 0 | 0 | 0 | 3 | 0 | 0 | 0 | 0 | 0 |
| VIETNAM ERA VETERANS PROGRAM (PS) | 23 | 0 | 84 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| WASHINGTON PARK COMMUNITY CENTER | 194 | 0 | 256 | 0 | 29 | 0 | 13 | 0 | 10 | 0 | 0 | 0 | 0 | 0 |
| WEST END COMMUNITY CENTER (PS) | 1,251 | 1,088 | 522 | 0 | 70 | 0 | 70 | 0 | 210 | 0 | 0 | 0 | 0 | 0 |
| WOMEN & INFANTS FAMILY VAN | 1,805 | 1,444 | 439 | 0 | 94 | 0 | 27 | 0 | 28 | 0 | 0 | 0 | 0 | 0 |
| | | | | | | | | | | | | | | |

| Act_Name | BlackW | BlackWH | AIAnBlk | AIAnBlkH | OtherMR | OtherMRH | AsianPI | AsianPIH | Hispanic | HispanicH | Total | TotalH |
|--|--------|---------|---------|----------|---------|----------|---------|----------|----------|-----------|-------|--------|
| AMYOTROPHIC LATERAL SCLEROSIS ASSC (PS) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 3 | 38 | 3 |
| CAPITAL CITY COMMUNITY CENTER | 0 | 0 | 0 | 0 | 8 | 0 | 0 | 0 | 119 | 119 | 612 | 119 |
| CHISPA - PS | 0 | 0 | 0 | 0 | 468 | 0 | 0 | 0 | 0 | 0 | 6,733 | 5,001 |
| COMMUNITY RESOURCE CENTER - (PS) | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 86 | 49 |
| DAVINCI CENTER (PS) | 0 | 0 | 0 | 0 | 4 | 0 | 0 | 0 | 0 | 0 | 1,390 | 56 |
| EAST SIDE/MT. HOPE YMCA (PS) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 51 | 11 |
| ELMWOOD COMMUNITY CENTER-PS | 0 | 0 | 0 | 0 | 4 | 0 | 0 | 0 | 645 | 645 | 883 | 672 |
| FEDERAL HILL HOUSE (PS) | 0 | 0 | 0 | 0 | 27 | 0 | 0 | 0 | 149 | 149 | 493 | 166 |
| FEDERAL HILL TUTORIAL (PS) | 0 | 0 | 0 | 0 | 11 | 0 | 0 | 0 | 50 | 50 | 195 | 52 |
| FRUIT HILL SENIOR DAY CARE SERVICES (PS) | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 70 | 0 |
| HARTFORD PARK COMMUNITY CENTER (PS) | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 228 | 228 | 367 | 228 |
| HMONG UNITED ASSOCIATION - PS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 506 | 0 |
| INTERNATIONAL INSTITUTE - (PS) | 0 | 0 | 0 | 0 | 33 | 0 | 0 | 0 | 0 | 0 | 351 | 220 |
| JOHN FOGARTY CENTER - (PS) | 0 | 0 | 0 | 0 | 6 | 0 | 0 | 0 | 0 | 0 | 58 | 4 |
| JOHN HOPE SETTLEMENT HOUSE - PS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| JOSLIN COMMUNITY CENTER - PS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 215 | 215 | 421 | 215 |
| KEEP PROVIDENCE BEAUTIFUL (PS) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 851 | 851 | 3,725 | 851 |
| MT. HOPE NEIGHBORHOOD CENTER (PS) | 0 | 0 | 0 | 0 | 13 | 0 | 0 | 0 | 0 | 0 | 96 | 26 |
| NICKERSON COMMUNITY CENTER - (PS) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 232 | 89 |
| PRO-CAP FUEL ASSISTANCE - PS | 0 | 0 | 0 | 0 | 198 | 0 | 0 | 0 | 0 | 0 | 6,011 | 3,016 |
| PRO-CAP INTERIM HOUSE SHELTER - PS | 0 | 0 | 0 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 73 | 27 |
| PRO-CAP SENIOR TRANSPORTATION - PS | 0 | 0 | 0 | 0 | 10 | 0 | 0 | 0 | 0 | 0 | 129 | 4 |
| PROVIDENCE BLACK REPERTORY - PS | 0 | 0 | 0 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 66 | 0 |
| PROVIDENCE COMMUNITY HEALTH CENTER(PS) | 0 | 0 | 0 | 0 | 54 | 0 | 463 | 0 | 0 | 0 | 1,194 | 0 |
| RHODE ISLAND DONATION EXCHANGE -(PS) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 406 | 267 |
| ROGER WILLIAMS DAY CARE CENTER (PS) | 0 | 0 | 0 | 0 | 9 | 0 | 107 | 0 | 0 | 0 | 274 | 0 |
| SILVER LAKE COMMUNITY CENTER | 0 | 0 | 0 | 0 | 4 | 0 | 0 | 0 | 251 | 251 | 845 | 251 |
| SOUTH PROVIDENCE TUTORIAL - (PS) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 19 | 19 | 39 | 19 |
| SOUTH SIDE COMMUNITY LAND TRUST -(PS) | 0 | 0 | 0 | 0 | 31 | 0 | 0 | 0 | 1,431 | 1,431 | 4,846 | 1,431 |
| TALBOT HOUSE | 0 | 0 | 0 | 0 | 32 | 0 | 0 | 0 | 20 | 20 | 114 | 43 |
| URBAN LEAGUE OF RHODE ISLAND (PS) | 0 | 0 | 0 | 0 | 32 | 0 | 0 | 0 | 170 | 170 | 355 | 170 |
| VIETNAM ERA VETERANS PROGRAM (PS) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 6 | 6 | 114 | 6 |
| WASHINGTON PARK COMMUNITY CENTER | 0 | 0 | 0 | 0 | 41 | 0 | 0 | 0 | 303 | 303 | 846 | 303 |
| WEST END COMMUNITY CENTER (PS) | 0 | 0 | 0 | 0 | 5 | 0 | 0 | 0 | 0 | 0 | 2,128 | 1,088 |
| WOMEN & INFANTS FAMILY VAN | 0 | 0 | 0 | 0 | 25 | 0 | 0 | 0 | 0 | 0 | 2,418 | 1,444 |
| | | | | | | | | | | | | |

| Act_Name | MTX | Tot_Race | LowMod | Low | X_Low | Fem_HsHld |
|--|-----|----------|--------|-------|-------|-----------|
| AMYPTROPHIC LATERAL SCLEROSIS ASSC (PS) | 05E | 38 | 38 | 20 | 3 | 3 |
| CAPITAL CITY COMMUNITY CENTER | 5 | 612 | 612 | 261 | 197 | 292 |
| CHISPA - PS | 5 | 6,733 | 6,733 | 2,411 | 4,173 | 624 |
| COMMUNITY RESOURCE CENTER - (PS) | 05A | 86 | 86 | 5 | 80 | 47 |
| DAVINCI CENTER (PS) | 5 | 1,390 | 1,390 | 701 | 626 | 676 |
| EAST SIDE/MT. HOPE YMCA (PS) | 5 | 51 | 51 | 18 | 33 | 1 |
| ELMWOOD COMMUNITY CENTER-PS | 5 | 883 | 883 | 608 | 270 | 499 |
| FEDERAL HILL HOUSE (PS) | 05A | 493 | 493 | 300 | 136 | 239 |
| FEDERAL HILL TUTORIAL (PS) | 5 | 195 | 195 | 92 | 68 | 69 |
| FRUIT HILL SENIOR DAY CARE SERVICES (PS) | 05A | 70 | 70 | 37 | 23 | 3 |
| HARTFORD PARK COMMUNITY CENTER (PS) | 5 | 367 | 367 | 367 | 0 | 89 |
| HMONG UNITED ASSOCIATION - PS | 05D | 506 | 506 | 228 | 226 | 0 |
| INTERNATIONAL INSTITUTE - (PS) | 5 | 351 | 351 | 105 | 169 | 60 |
| JOHN FOGARTY CENTER - (PS) | 05E | 58 | 58 | 0 | 58 | 0 |
| JOHN HOPE SETTLEMENT HOUSE - PS | 05E | 0 | 0 | 0 | 0 | 0 |
| JOSLIN COMMUNITY CENTER - PS | 5 | 421 | 421 | 188 | 221 | 251 |
| KEEP PROVIDENCE BEAUTIFUL (PS) | 5 | 3,725 | 3,725 | 1,495 | 702 | 4 |
| MT. HOPE NEIGHBORHOOD CENTER (PS) | 5 | 96 | 96 | 15 | 81 | 45 |
| NICKERSON COMMUNITY CENTER - (PS) | 05A | 232 | 232 | 10 | 222 | 133 |
| PRO-CAP FUEL ASSISTANCE - PS | 5 | 6,011 | 6,011 | 1,633 | 4,313 | 0 |
| PRO-CAP INTERIM HOUSE SHELTER - PS | 5 | 73 | 73 | 70 | 3 | 14 |
| PRO-CAP SENIOR TRANSPORTATION - PS | 05E | 129 | 129 | 129 | 0 | 90 |
| PROVIDENCE BLACK REPERTORY - PS | 05D | 66 | 66 | 66 | 0 | 0 |
| PROVIDENCE COMMUNITY HEALTH CENTER(PS) | 05M | 1,194 | 1,194 | 63 | 406 | 221 |
| RHODE ISLAND DONATION EXCHANGE -(PS) | 5 | 406 | 406 | 0 | 406 | 342 |
| ROGER WILLIAMS DAY CARE CENTER (PS) | 05L | 274 | 274 | 210 | 22 | 254 |
| SILVER LAKE COMMUNITY CENTER | 5 | 845 | 845 | 845 | 0 | 350 |
| SOUTH PROVIDENCE TUTORIAL - (PS) | 5 | 39 | 39 | 0 | 39 | 0 |
| SOUTH SIDE COMMUNITY LAND TRUST -(PS) | 5 | 4,846 | 4,846 | 2,776 | 888 | 1,187 |
| TALBOT HOUSE | 05F | 114 | 114 | 0 | 114 | 0 |
| URBAN LEAGUE OF RHODE ISLAND (PS) | 05A | 355 | 355 | 209 | 146 | 170 |
| VIETNAM ERA VETERANS PROGRAM (PS) | 5 | 114 | 114 | 70 | 32 | 6 |
| WASHINGTON PARK COMMUNITY CENTER | 5 | 846 | 846 | 76 | 765 | 220 |
| WEST END COMMUNITY CENTER (PS) | 5 | 2,128 | 2,128 | 2,066 | 62 | 979 |
| WOMEN & INFANTS FAMILY VAN | 05M | 2,418 | 2,418 | 249 | 631 | 693 |
| | | 36,165 | 36,165 | | | |

CDBG PROGRAM MONITORING

One staff member monitors all CDBG programs yearly for programmatic compliance. All monitoring visits are scheduled in advance with the agencies and a list of compliance areas is transmitted to them. At the conclusion of the monitoring visits field reports are filed and transmitted to the agency as well. If there are findings or concerns noted, the agency is asked to respond with a compliance action for each finding or concern noted.

The monitoring visits serve not only as compliance mechanisms, but also as a way to determine appropriate technical assistance individually by program or as a workshop for subgrantees needing assistance in a particular area. The Department generally requires all new subgrantees to attend workshops on contracting and Davis/Bacon compliance, HUD requirements and requisitioning as well as FHEO requirements.

The City's 33 public service programs are monitored on a yearly basis by the PROCAP staff as well. Performance reports are collected on a quarterly basis for all programs and submitted to DPD for IDIS input.

Job monitoring is a critical component of the PEDC revolving loan program. Contract goals are established at contract signing and are established by the size of the loan. \$30,000 of PEDC money will trigger a job creation of 1 position. Loans are reviewed every six months for progress. Again, all monitoring visits are on site and technical assistance provided if deemed necessary.

Section Three

Financial Summary (Form 4949.3)**Grantee Performance Report**

Community Development Block Grant Program

Fiscal Year 2002/2003

Part I: Summary of CDBG Resources

| | | | | |
|---|---------------------------------|--------------|--------------|---------------|
| 1 | Unexpended CDBG Funds @ 6/30/02 | | \$ | 7,237,360.33 |
| 2 | Entitlement Grant from HUD-7082 | | \$ | 7,087,000.00 |
| 3 | Surplus Urban Renewal Funds | | \$ | - |
| 4 | Section 108 GTD Loan Funds | | \$ | - |
| 5 | Program Income | Grantee | Subrecipient | |
| a | Revolving Funds | | \$ | 1,790,004.55 |
| b | Sec 108 | | \$ | 1,019,951.68 |
| | Other | \$ 52,831.55 | | |
| c | Total Program Income | | \$ | 2,862,787.78 |
| 6 | Prior Period Adjustments | | \$ | - |
| 7 | Total CDBG Funds Available | | \$ | 17,187,148.11 |

Part II: Summary of CDBG Expenditures

| | | | | |
|----|---------------------------------------|----|--------------|---------------|
| 8 | Total Expenditures | | \$ | 9,569,854.27 |
| 9 | Total Expended for Admin | \$ | 1,260,970.54 | |
| 10 | Amount Subject to Low/Mod Calculation | \$ | 8,308,883.73 | |
| 11 | CDBG Funds used for Sec 108 P & I | | \$ | 930,984.51 |
| 12 | Total Expenditures | | \$ | 10,500,838.78 |
| 13 | Unexpended Balance | | \$ | 6,686,309.33 |

Part III: Low/Mod Benefit This Reporting Period

| | | | |
|----|---|----|--------------|
| 14 | Total Low/Mod Credit for Multi-unit Housing Exp | \$ | - |
| 15 | Total from all other activities qualifying as Low/Mod Exp | \$ | 6,574,362.57 |
| 16 | Total | \$ | 6,574,362.57 |
| 17 | Percent | | 79.12% |

Part IV: Low/Mod Benefit for Multi-Year Certification

| Program Years Covered in Certification | PY | 2000 | PY | 2001 | PY | 2002 |
|--|--|------|----|---------------|----|--------|
| 18 | Cumulative Net Expenditures Subject to Program Benefit Calculation | \$ | | 23,982,992.91 | | |
| 19 | Cumulative Expenditures Benefiting Low/Mod Persons | \$ | | 20,573,104.52 | | |
| 20 | Percent Benefit to Low/Mod Persons | | | | | 85.78% |

Part V: For Public Service Activities Only: Public Service Cap Calculation

| | | | |
|----|---|----|--------------|
| 21 | Total PS Expenditures | \$ | 1,538,700.63 |
| 22 | Total PS Unliquidated Obligations | \$ | - |
| 23 | Sum of Line 21 and 22 | \$ | 1,538,700.63 |
| 24 | Total PS Unliquidated Obligations Reported at End of the Prior Reporting Period | \$ | - |
| 25 | Net Obligations for Public Services | \$ | 1,538,700.63 |
| 26 | Amount of Program Income Received in the Preceding Program Year | \$ | 2,293,012.48 |
| 27 | Entitlement Grant | \$ | 7,087,000.00 |
| 28 | Sum of Lines 26 and 27 | \$ | 9,380,012.48 |
| 29 | Percent Funds Obligated for Public Service Activities | | 16.40% |

Part IV: Planning and Program Administration Cap Calculation

| | | | |
|----|---|----|--------------|
| 30 | Amount Subject to Planning and Administration Cap | \$ | 9,949,787.78 |
| 31 | Amount Expended for Planning and Administration | \$ | 1,260,970.54 |
| 32 | Percent Funds Expended | | 12.67% |

FINANCIAL SUMMARY ATTACHMENT

a. Program Income

| | | | |
|---|--|-----------------|-----------------|
| 1 | Total Program Income to revolving funds | | \$ 1,790,004.55 |
| | Providence Economic Development Corp. Revolving Fund | \$ 1,790,004.55 | |
| 2 | Section 108 Loan Principal & Interest received | | \$ 1,019,951.68 |
| 3 | Other Loan Repayments | | \$ 50,883.91 |
| | Multi Unit Housing Rehab | \$ 50,883.91 | |
| 4 | Other Program Income | | \$ 1,947.64 |
| | Miscellaneous Receipts | \$ 1,947.64 | |

b. Prior Period Adjustments

n/a

c. Loan and Other Receivables

| | | | | |
|---|---|--|-----|------------------|
| 1 | a | Economic Development Loans Outstanding | 149 | \$ 10,944,558.09 |
| | | Housing Rehab Loans Outstanding | 1 | \$ 112,303.26 |
| | b | Deferred Housing Rehab Loans Outstanding | 1 | \$ 780,000.00 |
| 2 | | Loans Defaulted during reporting period | | |
| 3 | | Property available for Sale | | |
| 4 | | Lump Sum Drawdowns | | n/a |

d. Reconciliation of Line of Credit & Cash to Unexpended Balance on GPR

UNEXPENDED BALANCE FROM FORM 4949.3, LINE 13 \$ 6,686,309.33

ADD:

| | |
|-------------------------|-----------------|
| LOC Balance | \$ 6,310,264.10 |
| Cash on Hand: | |
| Grantee Program Account | \$ 325,515.72 |
| Subrecipient Accounts | \$ - |
| Revolving Fund | \$ - |
| Section 108 | \$ 1,013,685.45 |

SUBTRACT:

| | |
|---------------------------------------|-----------------|
| Grantee CDBG Program Liabilities | \$ (963,155.94) |
| Subrecipient CDBG Program Liabilities | \$ - |

TOTAL RECONCILING BALANCE

\$ 6,686,309.33

UNRECONCILED DIFFERENCE

\$ -

e. Calculation of Balance of Unprogrammed Funds

Amount of Funds Available during Reporting Period(Form 4949.3, line 7) \$ 17,187,148.11

Add: Income Expected but NOT yet Realized

\$ -

SUBTOTAL

\$ 17,187,148.11

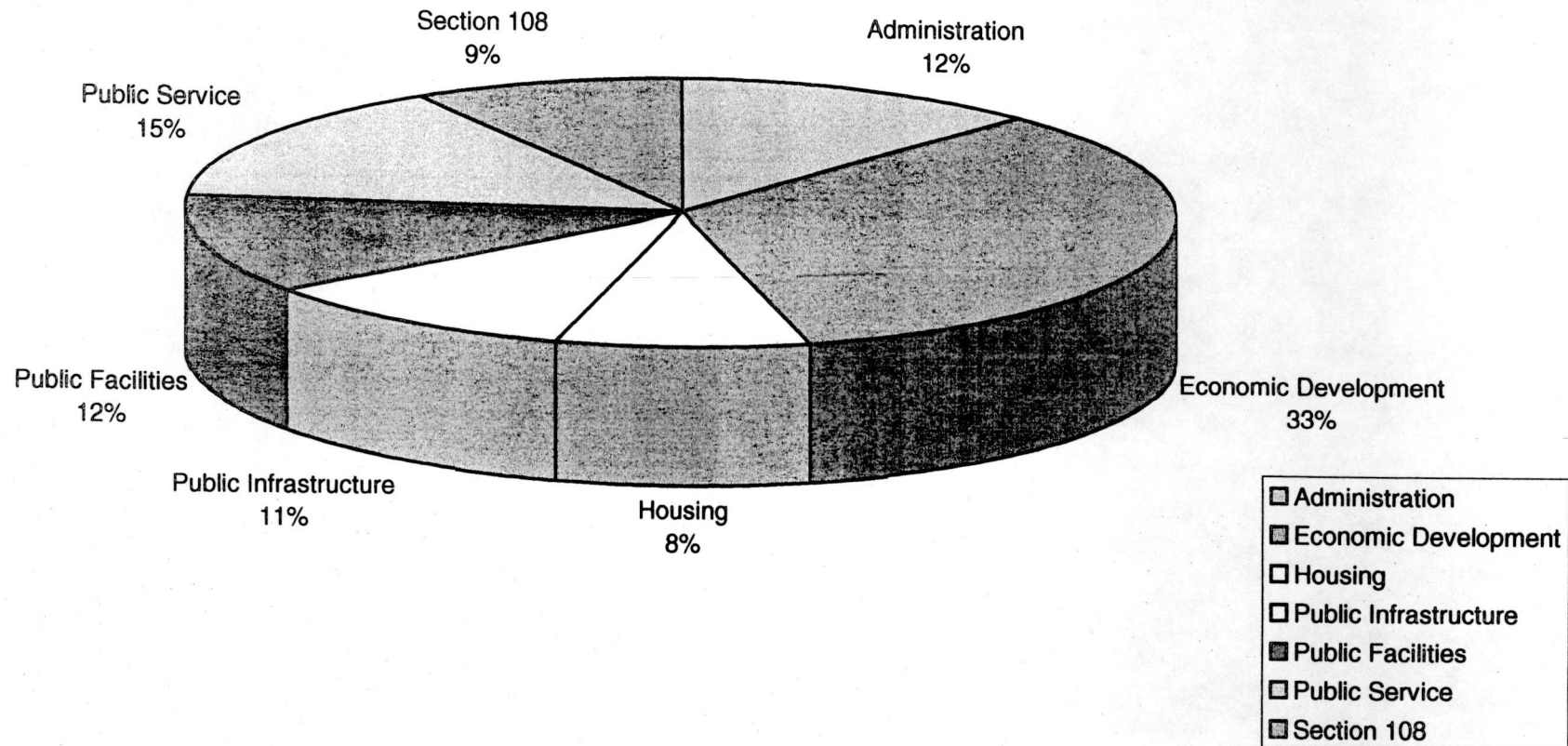
Less: Total Budgeted Amount on HUD 4949.2/2a

\$ 17,187,148.11

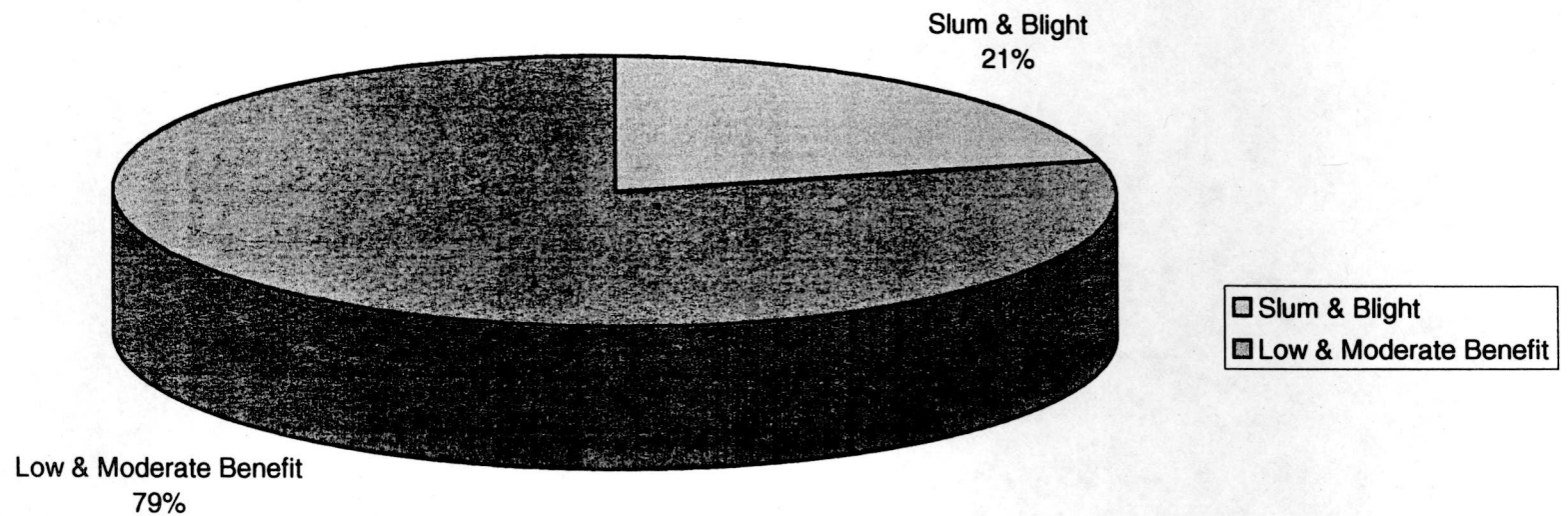
UNPROGRAMMED BALANCE

\$ -

**City of Providence
Types of Expenses
Fiscal Year 2002/2003**



**City of Providence
National Objective
Fiscal Year 2002/2003**

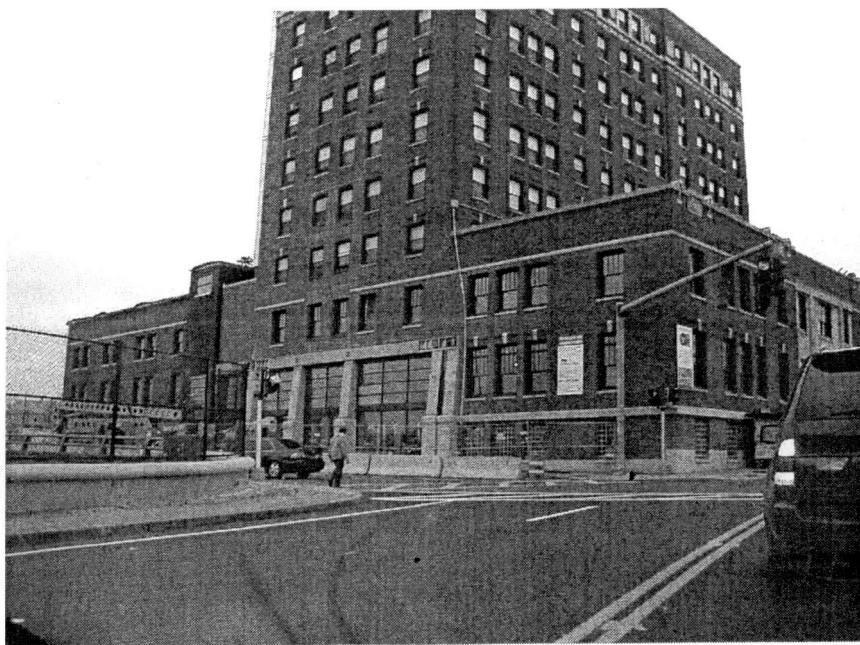




Section Four

Emergency Shelter Grant and the Continuum of Care

The City of Providence received \$259,000 in YR 28 through the Emergency Shelter Grant program. The City funded nine agencies that serve the homeless and those in danger of being homeless through a variety of programs. The City, although it has not filed its own continuum of care plan, works cooperatively with the Rhode Island Housing and Mortgage Finance Corporation (RIH) and the RI Coalition for the Homeless and the Interagency Council on Homelessness and Affordable Housing to assist with the implementation of the State's consolidated effort, which the City is a part of. The City's funding strategy and prioritization are drawn largely from the Continuum of Care (COC) document. The agencies funded individually support each stage of the COC that has been established. Traveler's Aid outreaches to persons in the street, including crisis services and referrals to agencies such as Amos House, Interim House, Urban League, People to End Homelessness and the Women's Center and then on to transitional housing such as McAuley Village and finally to permanent housing at Advent House or a section 8 certificate or vouchered depending on the persons family and or disability status.



The most significant emergency shelter project in Providence and the State of Rhode Island commenced in the fall of 2002. Traveler's Aid will relocate from their Union Street location, to the former YMCA headquarters on Broad Street, approximately .5 miles from its present location. The 182 SROs in the tower are being completely

refurbished and brought up to a code and 16 new family transition units will occupy the former daycare center. The City has contributed \$2.5 million dollars in general obligation

bond, Rhode Island Housing contributed \$500,000 of funding and a 4% tax credit deal is a part of the mix. This move will enhance Traveler's Aid to consolidate services and serve more

The majority of ESG and COC providers are located in the City of Providence and are often assisted with not only ESG money from Providence but they often receive funds for capital improvements from CDBG. During this reporting period Advent House received CDBG funding for that purpose. Providence Community Action Program (PROCAP) also receives public service funds for their shelter Interim House.

The following tables illustrate the services provided and the number of individuals that are served:

| Residential Services | Nickerson House | People to End Homelessness | Interim House | The Turning | The Urban League | The Women's Shelter |
|------------------------------|-----------------|----------------------------|---------------|-------------|------------------|---------------------|
| Adults | 18 | 200 | 65 | 10 | 46 | 11 |
| Children | | | 155 | | | 16 |
| # served daily | 18 | 200 | 220 | 10 | 46 | 28 |
| #served yearly | 29 | 89 | 220 | 32 | 609 | 296 |
| % Male unaccompanied 18 + | 100% | 68% | | 30% | 100% | |
| % Female unaccompanied 18 + | | 32% | | 70% | | 100% |
| % Male unaccompanied 18 - | | | | | | |
| % Female unaccompanied 18 - | | | | | | 100% |
| Fmly w/ch single 18 + male | | | 14% | | | |
| Fmly w/ch single 18 + female | | | 83% | | | |
| Youth 18 under | | | | | | |
| Two parents 18 + | | | 3% | | | |
| Two parents 18 - | | | | | | |
| Fmlies no children | | | | | | |
| | | | | | | |
| % of Population served | Nickerson House | People to End Homelessness | Interim House | The Turning | The Urban League | The Women's Shelter |
| Battered Spouse | | | | 7% | | 96% |
| Runaway/Throwaway youth | | | | | | |
| Chronically Mentally Ill | | 26% | 13% | 30% | | |
| Developmentally disabled | 1% | 2% | 31% | | | |
| HIV/AIDS | 1% | | 1% | 10% | 1% | |
| Alcohol Dependant | 95% | 3% | 7% | 100% | | |
| Drug Dependant | 95% | 8% | 9% | 75% | | |
| Elderly | | 9% | | 7% | 7% | |
| Veterans | | 3% | | 10% | 1% | |
| Physically disabled | 100% | 19% | 3% | 7% | | |
| | | | | | | |
| Shelter Type | Nickerson House | People to End Homelessness | Interim House | The Turning | The Urban League | The Women's Shelter |
| Barracks | 12 | 79 | | | | |

Accomplishments and Evaluation

The City has successfully funded services that attempt to meet the needs of individuals and families that are or are about to become homeless. However, the needs continue to increase as more families and individuals are falling through the economic divide. (Traveler's Aide Report) The City continues to work with service providers to fund appropriate services and activities to support facilities that serve this population.

The department has a seat on the Statewide Housing Resource Committee's subcommittee on Homelessness Assistance. As a voting member the DPD reviews and scores program proposals and assists with annual site visits across the state. This has been a terrific opportunity for the City to help direct funded resources to the City's neediest clients and most effective programs.

Again, data presented to this committee serves to illustrate the extreme need for affordable rental housing across the state. For the third consecutive year the State of RI's Governor's Office has provided \$500,00 to operate an "overflow" shelter during the winter months, underscoring the desperate needs of individuals, particularly families.

Section Five

Housing for Persons with AIDS

The HOPWA program is unlike any of the grant programs administered by the City due to its large geographic catchment area. The HOPWA program administered by the City serves all of Rhode Island (except Newport County) and the Southeast Massachusetts from the Attleboros out to Fall River. As the majority of AIDS cases in the catchment area are in Rhode Island, the City of Providence has contracted with AIDS CARE/Ocean State to administer 89.5% of the funds, based on the needs assessment and strategic plan – *Housing for Persons with AIDS in Rhode Island* – prepared for RIHMFC, Family Aids Center for Treatment and Support (FACTS) and Sunrise Community Housing.

The plan, published in December of 1997 – and updates in the City's 2000-2005 CPS - established a strategy for the application of AIDS program funding, resource allocation and the provision of a housing network that is flexible, yet stable. The plan combined qualitative and quantitative methodology including surveys. A Housing Advisory Steering Committee is comprised of 12 AIDS care providers. Providers, Advocates and Consumers were all a part of the study.

The HOPWA Housing Advisory Steering Committee meets semi-annually to discuss HIV/AIDS issues and make HOPWA funding recommendations according to a continuation of the strategic plan.

The CPS funding plan for HOPWA essentially seeks to stabilize funding to agencies, continue with existing proven programs and fill in the gaps identified.

All programs, while administered by AIDS CARE in Providence, Serenity House in Providence, House of Compassion in Cumberland and the City of Fall River were available to individuals and families across the RI catchment area.

The Massachusetts funding, administered by the Fall River Community Development Agency, was allocated to the following:

| | |
|---|----------|
| Stanley Street Treatment and Resources (SSTAR): | \$25,000 |
| Moveable Feast: | \$28,065 |

HOPWA funding for 2002-03 is as follows:

AIDS Care Ocean State: \$367,505

House of Compassion: 79,917

City of Fall River: 54,705

Serenity House: 3,243

SUMMARY

This following Executive Summary is outlined in three parts:

Part I: AIDS Care Ocean State, Providence, RI.

Part II: House of Compassion, Cumberland, RI

Part III: City of Fall River, Fall River, MA.

Part IV: Serenity House

Part I: AIDS Care Ocean State, Inc.

AIDS Care is the primary provider of housing and supportive services in Rhode Island for persons living with AIDS. AIDS Care operates a group home for adults (10 SRO) as well as an eight-bed nursery for children infected and or affected by HIV/AIDS. Additionally, the agency offers comprehensive case management and clinical case management, harm and prevention education, a needle exchange program and a pediatric clinic. AIDS care is a state CHDO and operates a fifteen-unit, 811 grant apartment building in Pawtucket, RI. Further, AIDS Care is in the process of developing MT. Hope Village on Providence's East Side, which will consist of five duplexes, each containing two, two-bedroom apartments for a total of ten new apartments. To date, eighty percent of HIV housing

throughout RI has been developed and is managed by AIDS Care. The agency currently operates 69 residential units.

AIDS Care was incorporated in 1998, the merger of two prominent AIDS housing and supportive services providers, FACTS and Sunrise Community Housing. Over the last six years, these two organizations successfully and collaboratively competed nationally for HOPWA Competitive and SPNS funds. An important element of those awards was the granting of funds to the two organizations and RI Housing, the sponsor of the HOPWA applications, to prepare a needs assessment and strategic plan, Housing for persons with AIDS in RI. At the same time that this plan was in preparation, the Providence-Fall River Metropolitan Statistical Area (ESMA) was designated as an eligible HOPWA formula entitlement area. The City of Providence is the sponsor for these HOPWA Entitlement funds.

AIDS Care participated in the development of the *City of Providence's Consolidated Plan, 2001-05*. AIDS Care is a member of the CPS Steering Committee. This grant satisfies the CPS goal of providing housing and supportive services for Persons living with HIV/AIDS (CPS Section IV, page 18, table 1B of the Five Year Strategic Plan).

PROJECT ACCOMPLISHMENT OVERVIEW:

1. During FY 2002-03, (a) \$65,281 in emergency rent, security deposit or utility payments were made to prevent homelessness funded by both Ryan White Title II and City Emergency Shelter Funds (b) a total of \$249,277 of rental assistance for 31 housing units was distributed through master lease subsidies funded entirely by HOPWA competitive and/or Entitlement funds and (c) \$162,389 of operating cost was expended for 31 units housing. A portion of this cost is attributed to HOPWA Competitive funds; the balance is funded through unfunded agency operating revenue and rental income.

2. Approximately six units will be made available to the agency in FY 2002-03 and are currently under development with capital costs, but not yet open. Three of these units are funded by a HOPWA SPNS grant and the other three were acquired through agency cash resources and will be renovated with HOME, NOP and Lead funds.
3. AIDS Care has developed a unique Consolidated Housing Services Unit (CHS) to find affordable housing in the private marketplace by serving as a resource center linking PLWA to friendly landlords and property management companies. By maintaining working relationships with public housing authorities and other housing programs (AIDS Project RI, Positive Living, Family Services, Ferland Properties and Kelly and Picerne), additional units are identified without a capital investment for new housing by AIDS housing providers. The CHS serves as a clearinghouse for all the AIDS housing information in the state and a "one-stop" information center for PLWA in need of housing. Consumer involvement: Consumers are present at advisory board meetings, as well as agency-wide board meetings and tenant meetings.
4. AIDS Care is a member of the following organizations: SHAC, the RI Coalition of Homeless, Northern RI Mental Health (Blackstone Valley Mental Health Realty Corporation).

Barriers or Trends:

1. ACOS continues to experience adverse and discriminating reception to proposals to create HIV/AIDS housing units. This adverse reaction results in less suitable rental units available. HIV/AIDS education is still needed. Neighborhood groups and associations protest any new AIDS housing development proposals and act as an impediment to successful creation of new housing units.

2. Although HUD announced a slight increase in the fair market rents for the area served by this grant, this issue is probably the largest barrier to performance as rental units continue to increase in price as demand for affordable housing increases. The recent large increase in property and liability insurance is further putting strains on reasonable priced property. The great majority of those open market apartments which previously would have been available are now considerably above the fair market rents and unobtainable. This barrier produces a delay in implementing programs and creating and bringing eligible apartments online.
3. The cost of major rehabilitation of existing structures to create new housing through capital investment is also prohibitive due to the cost of lead paint and asbestos abatement.
4. The new era of combining medicines, commonly referred to as "cocktails," to combat HIV/AIDS has resulted in more people living longer and more independently and this is creating an increased demand for housing. Due to the fact that many of these individuals have a dual or more diagnosis, the need for housing with increased supportive services is adding to the problem of finding suitable housing units.

Part II: House of Compassion

The House of Compassion (HOC) started in 1994 in the town of Cumberland RI. With a core of volunteer and professional staff, there is a congregate house providing residence and service for up to either (8) persons. The house provides each resident with a private room, with a strong congregate living and support component. Weekly meetings are held wherein residents can participate in

decision making about house policies and activities. HOC also operates three (2) housing units in Woonsocket and two (2) in Central Falls and one (1) housing unit in Pawtucket.

By providing services to persons living with HIV/AIDS, the HOC is addressing the needs of northern Rhode Island. HOC provides transportation, supervised meals, and assistance with personal needs. Staff ensures that proper aid is provided to the resident and home health aides have been provided as needed.

The Updated 1997 Housing for Persons with AIDS in RI Needs Assessment and Strategic Plan is the planning document which provided the basis for identification of housing needs of PLWAs.

HOC addresses the serious gap in the continuum of care in northern RI by providing an opportunity for persons with AIDS to live in either the congregate facility of independent apartments in Woonsocket and Central Falls.

Through an effective combination of HOPWA, Supportive Housing Program (SHP), HOME funds, in-kind volunteer contributions, grants and donations, the HOC is continuing to effectively serve the housing needs of PLWAs.

Collaboration: HOC also networks to other agencies and institutions including RI Hospital, Miriam Hospital and Talbot House. HOC coordinates with the social workers and discharge planners at the hospitals, Traveler's Aid, Hospice of RI, VNA of Providence and the RI Coalition for the Homeless. Since its beginning, HOC has logged over 40,000 hours of volunteer time.

Barrier and Trends: No recommendation for program improvement at this time.

Part III: City of Fall River Community Development Agency

The City of Fall River, as a sub-grantee and a manager of Massachusetts EMSA funds, solicited Request for Proposals from eligible local project sponsors in January 2002. Two eligible project sponsors submitted their proposal and, upon their review, the FY'02 HOPWA funds were distributed as follows:

Moveable Feast, Inc. received a total of \$28,065 of HOPWA funds to provide a home-delivered meals program for low income PLWAs and their families/caregivers in the Fall River area. The program provided a total of 3,619 daily deliveries of nutritious meals.

Seventeen (17) PLWAs received assistance during the program year. The break down is as follows: 12 males (71%) and 5 females (29%) of which 10 were White (59%) and 7 were Black (41%). One client was 17 years old and under, 14 were in the 31-50 years old range, and 2 were in the 51 years old and over range. All 17 clients had less than \$1,500 monthly income.

Referrals for the program participation came from various AIDS/HIV area service providers as well as from substance abuse programs whose participants were diagnosed with HIV/AIDS and substance abuse addition. Through the Moveable Feast's meal delivery program, clients were provided with adequate nutrition for the entire family, while seeking and maintaining employment, supportive service appointments and other daily living activities.

Both project sponsors carried out their respective programs in accordance with the projects administration agreements. All program goals and objectives were successfully met, and both programs were selected to participate in the FY 2003 HOPWA grant program year.

Stanley Street Treatment and Resources (SSTAR) Project Aware (PA) received a total of \$25,000 to provide supportive case management services to people living with HIV in Fall River. The major portions of the funds paid for a case worker to provide case management to

up to eight (8) clients for the Next Step Home Program and others enrolled for services through Project Aware's (PA) direct services.

During this program year, thirty-nine (39) unduplicated persons living with HIV accessed these services covered under HOPWA funds. Seven (7) clients are/were part of the Next Step Home Program receiving supportive services and the balance of thirty-two (32) clients were part of the case management program at PA..

The demographic breakdown of those 39 clients is as follows: Male (54%) Female (46%), White (80%), Black/African American (5%), Multiple Race Category (5%), Four (4) clients were in the 18 to 30 year range. Thirty-two (32) clients were in the 31 to 50 years old range. Three (3) clients were in the 51 years and over range. All 39 clients had less than a \$1,500 monthly income.

Because state funding through Ryan White Title II is limited, HOPWA funds allowed SSTARR to offer one-on-one case management and access to services to a greater number of people living with HIV in the Greater Fall River area. SSTAR additionally expended \$5,623.89 of state funds to cover the actual cost of the case management services.

Both project sponsors carried out their respective programs in accordance with the project administration agreements. All of the program's objectives were successfully met. **No barriers were encountered.**

Part IV: Serenity House

Matthew 25 HIV/AIDS Ministry, Inc., in existence since 1993, was incorporated as a 501 (3) c non-profit agency in 1997. The agency provides a variety of social services for those individuals living with HIV/AIDS and those affected by HIV/AIDS. The majority of the agency's clients are low or no income individuals living in Rhode Island with a geographical predominance of the City of Providence.

Serenity House is the residential facility operated by Matthew 25. It has been in operation since December 1999. It is located at 196 Congress Avenue in Providence Rhode Island. Serenity House offers a communal, supportive living environment for individuals living with HIV/AIDS. What makes Serenity House unique is that we do not provide transitional housing, or a facility that is program oriented, such as a rehabilitation facility. Serenity house is a home. A place where the residents can find comfort and support in an environment that comes from lives shared in common.

The house currently is home to four (4) individuals, which is full occupancy given the number of existing bedrooms. There is a waiting list of four (4) persons.

Barriers or trends:

The biggest trend seen is the number of individuals looking for supportive communal housing. Records document that in the past year we have interviewed fifteen (15) individuals that indicated a serious desire for a supportive program. While unable to accommodate them due to space, we have four (4) who continue to be in touch with us on a regular basis regarding availability of housing. There is a clear signal that as those individuals living with HIV/AIDS live longer lives, they will have the need to cycle through the 4 levels of housing. Independent living arrangements, supportive living facilities, assisted living facilities and skilled care facilities. Serenity House remains in the process of remodeling the 3rd floor to accommodate 2 additional individuals.

Performance Chart 1 – Actual Performance. Types of Housing Units Dedicated to Persons with HIV/AIDS which were supported during the Operating Year

| Type of Unit: | Number of units with HOPW A funds | Amount of HOPW A funds | Number of units with Grantee and other funds | Amount of Grantee and other funds | Deducti on for units reported in more than one column | TOTAL by type of unit |
|--|-----------------------------------|------------------------|--|-----------------------------------|---|-----------------------|
| 1. Rental Assistance | 14 | 112,000 | 17 | 137,277 | | 249,277 |
| 2. Short-term/emergency housing payments | | | | 65,281 | | 65,281 |
| 3-a. Units in facilities supported with operating costs | 20 | 83,160 | 51 | 448,389 | | 531,549 |
| 3-b. Units in facilities that were developed with capital costs. | | | | | | |
| 3-c. Units in facilities being developed with capital costs but not yet opened | | | 06 | 19,067 | | 19,067 |
| Subtotal | 34 | 195,160 | 74 | 670,014 | | 865,174 |
| Deduction for units reported in more than one category | | | | | | |
| TOTAL | 34 | 195,160 | 74 | 670,014 | | 865,174 |

Performance Chart 2 -- Comparison to Planned Actions, as approved in the Action Plan/Consolidated Plan for this Operating Year (Estimated Numbers of Units)

| Type of Unit: | Estimated Number of Units by type in the Approved Consolidated Plan/Action Plan for this operating year | Comment, on comparison with actual Accomplishments (or attach) |
|---|---|--|
| 1. Rental Assistance | 14 | Actual equals plan |
| 2. Short-term or emergency housing payments | 0 | |
| 3-a. Units in facilities supported with operating cost | 20 | Actual equals plan |
| 3-b. Units in facilities that were developed with capital costs and opened and served clients | 0 | |
| 3-c. Units in facilities being developed with capital costs but not yet opened | 0 | |
| Subtotal | 34 | |
| Deduction for units reported in more than one Category | 0 | |
| TOTAL | 34 | |

Accomplishments and Evaluation

The CPS needs analysis served to identify needs provide for the continuation of vital serviced and stabilize proven programs. The City will work with all providers to ascertain current needs and may adjust financial obligations to meet those needs identified. The Partnership with the City of Fall River, MA has been successful and will continue in the coming year.

Section Six

HOME

Overview of affordable housing programs



HOME funds

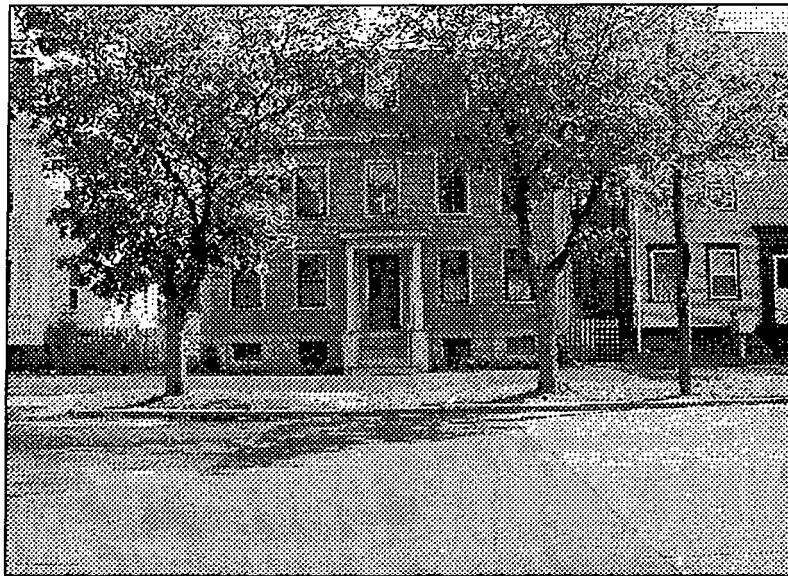
Housing rehabilitation and residential construction activities in the City are funded through the Department of Planning and Development. Non-profit Community Development Corporations serve as the development arm/partner of the City. DPD solicits Request for Proposals throughout the year. DPD staff evaluates and scores the proposals submitted by CDCs. To be considered the proposals must be in substantial conformance with the City's Consolidated Planning Strategy, Comprehensive Plan and any existing sub-plan, which has been approved by the specific neighborhood in which the rehabilitation or construction is to take place. All proposals are evaluated using the same objective criteria.

DPD coordinates this activity, encouraging increased levels of collaboration amongst CDCs and increased production overall. Projects generally include mix of approximately 55% homeownership rehabilitation or new construction, 45% rental rehabilitation and 10% special needs rehabilitation or new construction. Home ownership proposals involving new construction are usually single family with multi-family usually being rehabilitation.

The City also provides a variety of home repair and down payment assistance programs funded by city bonds and loan repayments. All of the home repair programs require completed work to be inspected by housing staff before loan proceeds are distributed to the contractor.

The City uses the Department of Planning and Development's staff and programs to provide a comprehensive housing delivery system to its residents.

In addition, the partnership between the City and its CDCs brings additional talent to a common housing program. Community Development Block Grant funds for housing are now tied to CDC's according to a performance formula; awarding funds based on units of housing produced or rehabilitated over a "rolling" three-year period.



Accomplishments and Leveraging:

HOME Projects approved July 1, 2002 – June 30, 2003

| July 1, 2002-June 30, 2003 | | | | | | | | | |
|----------------------------|---------------------|------------|------------|-----------------------------------|---------------------------|--------------------|------------|--------------------|--------------------------|
| | | | Pjt. Type | Homeowner Demographics | | | | | |
| | Project | # of units | Home owner | Alaskan Native or American Indian | Asian or Pacific Islander | Black non-Hispanic | Hispanic | White non-Hispanic | Female Head of Household |
| 187 | Admiral | 3 | | | | | | | |
| 204 | Bellevue | 2 | 1 | | | | 1 | | |
| 664 | Broad | 2 | | | | | | | |
| 213 | Burnside | 1 | 1 | | | 1 | | | |
| 97 | Byfield | 2 | 1 | | | | 1 | | |
| 101 | Byfield | 2 | 1 | | | 1 | | | |
| 171 | Chad Brown | 1 | 1 | | | | 1 | | |
| 26 | Frank | 1 | 1 | | | 1 | | | |
| 414 | Friendship | 8 | | | | | | | |
| 54 | Goddard | 3 | | | | | | | |
| 60 | Harvard | 2 | | | | | | | |
| 112 | Melrose | 2 | 1 | | | | 1 | | |
| | Olneyville Red | 5 | | | | | | | |
| 71 | Pekin | 2 | 1 | | | | 1 | | |
| 397 | Pine | 1 | 1 | | | | | 1 | 1 |
| 86 | Superior | 2 | 1 | | | | 1 | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | TOTALS | 39 | 10 | 0 | 0 | 3 | 6 | 1 | 1 |
| | | | | | | | | | |
| | % OF OWNERS | | | 0% | 0% | 30% | 60% | 10% | 10% |
| | | | | | | | | | |
| | % OF RENTERS | | | 0% | 3% | 28% | 31% | 14% | 24% |

| Rental Demographics | | | | | | |
|---------------------|-----------------------------------|---------------------------|--------------------|----------|--------------------|--------------------------|
| Rental | Alaskan Native or American Indian | Asian or Pacific Islander | Black non-Hispanic | Hispanic | White non-Hispanic | Female Head of Household |
| | | | | | | |
| | | | | | | |
| 3 | | | | | 1 | 1 |
| 1 | | | | 1 | | |
| 2 | | | | | | |
| | | | | | | |
| 1 | | | | 1 | | |
| 1 | | | 1 | | | |
| | | | | | | |
| | | | | | | |
| 8 | | | 3 | | 2 | 5 |
| 3 | | | 1 | 2 | | 1 |
| 2 | | | 2 | | | |
| 1 | | 1 | | | | |
| 5 | | | 1 | 3 | 1 | |
| 1 | | | | 1 | | |
| | | | | | | |
| 1 | | | | 1 | | |
| | | | | | | |
| | | | | | | |
| 1 | | | | | | |
| 29 | 0 | 1 | 8 | 9 | 4 | 7 |

The City approved \$1,423,915.00 in HOME funds for 16 projects during the period. These subsidy funds leveraged an additional \$2,646,855.00 in to the projects to which they were committed. For every \$1.00 used in HOME funds, over \$3.00 was leveraged from other sources. Most of the funds leveraged came from private sources such as banks and tax credit syndicates.

HOME funds are not used only as a subsidy, but are always used to attract additional sources of support to make each project feasible.

HOME funds are seldom used as the total funding source without significant leveraging of other money. This analysis does not include goods and services that are also leveraged with the use of HOME funds.

HOME Program Rental Project Monitoring

HOME Program monitoring of Rental Projects as required by HOME Program regulations at 24 CFR Part 92.504(d)(1) is undertaken on an ongoing basis by staff of the Department of Planning and Development. A staff person was assigned to be responsible for HOME monitoring and was sent to HUD training. In addition, monitoring files by project were established and a computer database was created to track monitoring activity. The Department also assigned a Housing Rehab specialist to perform the Sec. 92.251 inspections of HOME rental units as assigned.

All HOME rental units are monitored for eligible tenant incomes, rent affordability and compliance with property standards with the following results:

Monitoring Summary

All units were found to be in compliance with program regulations in regard to income eligibility, rent affordability and property standards.

- Total projects monitored with rental units (85)
- Total rental units in monitored projects (219)
- Total projects requiring on site inspections (47)
- Total rental units uninspected (0)

Worst Case Housing Scenario – Lead Paint and amelioration plans

Childhood lead poisoning was identified in the City's CPS as a high priority urgent housing need. The Providence lead program was designed to treat residential housing units in our primary and

secondary target neighborhoods constructed before 1978. Providence presently offers HUD Lead Hazard Reduction funds and City Bond Housing designated lead hazard reduction funds to anyone who meets the HUD income and child age guidelines. Any Lead poisoned child case is treated as a first priority.

To be enrolled in the program a property must meet the following requirements:

- Lead Poisoned Child
- Property must be occupied by low-income families with children six years of age or younger.
- Pregnant women reside in one of the units.
- Property/unit sited by Rhode Island Department of Health for lead violations.
- Child stays in unit 14 days or more a year.

Education and outreach throughout the community has been an integral component of Providence's program. TV and radio advertising in multiple languages has provided basic information on lead safety and program treatment opportunities. In addition, culturally and linguistically appropriate literature in four languages is distributed throughout the targeted neighborhoods.

The City of Providence's Department of Planning and Development Lead Hazard Reduction Program completed its HUD Round VI Lead Hazard Reduction Grant treating 427 units to a "Lead safe" status. All occupants where low-income families located in Providence's primary and secondary targeted neighborhoods. The Providence HUD Lead Grant was designed to address two different treatment strategies. Phase I, 200 residential units came under Clear Corps "Super Clean/Touch Up" treatment category. Phase II, 227 units received full lead hazard reduction, all of which took place in Providence's Enterprise Community with all units achieving "Lead Safe Certificates".

Lead Contractor development and worker training was another important aspect of the city lead program. Providence successfully trained 52 certified lead workers and 10 certified lead supervisors. Of

those trained, Providence helped develop 6 new lead hazard reduction companies from our targeted neighborhoods. The city also partnered with another organization to develop a non profit company which included 2 crews for lead hazard reduction. Continuing efforts are ongoing to maintain a stable certified lead contractor base.

The city lead program has implemented an innovative relocation process that has decreased the relocation cost by 75% allowing more units to be treated. The contracted purchase of all vinyl windows has decreased the window replacement cost by 25% and bulk bidding soil treatments saving approximately another 10% again allowing the program to treat more soils than in the past.

The city of Providence may be one of the first, if not the first to allocate city-housing funds specifically for lead hazard reduction. Providence designated an additional 1.5 million dollars from its city bond funds to continue in its efforts to fight childhood lead poisoning. Providence has completed 37 units through its DPD Housing Lead hazard Reduction Program. Child age requirement are similar to HUD, but income requirements have been increased to match the City's Home repair program of 120%. Childhood Lead poisoning has no dollar sign (\$) attached to it. Many families are just over the HUD 80% income guideline, but still reside in targeted neighborhoods.

Providence has taken another major proactive step by employing a full time certified lead inspector. Along with that, Providence has just completed another lead inspection class of 10 people. The state weatherization organization and 5 more city housing employees will become Rhode Island licensed certified lead inspectors.

The city has combined their Home Repair Program along with its HUD Healthy Home Research Grant program to treat houses that require additional funds to bring units into compliance not only for lead but also asthma, carbon monoxide, mold and other safety issues. The city proposes to continue to develop this cost effective health based approach to maintaining a home, based on fundamental knowledge of how older multi level wood frame buildings were

constructed and essential maintenance practices, required to keep them healthy and lead safe.

The city continues to suffer from a serious childhood lead poisoning problem relating directly to the age of it's housing stock. Elevated blood lead levels in Providence children are still amongst the highest per capita in the nation. Every year approximately 2000 children are classified with dangerous elevated blood lead levels. The numbers are tragic because childhood lead poisoning is an entirely preventable disease.

Lead hazards causing childhood lead poisoning in blighted properties continues to be a major concern in the city. In an effort to move from an enforcement model to a proactive treatment based model, the Providence lead hazard reduction program has provided parents, property owners, trade workers and community advocates with the information and resources needed to help solve the childhood poisoning problem in Providence.

The city has applied for the next round of HUD Lead Hazard Reduction funds. Childhood lead poisoning is a preventable problem. With resources and hard work Providence will continue its efforts to make all our neighborhoods environmentally safe for generations to come.

Section Seven

Providence Housing Authority

The Providence Housing Authority administers 1,229 units of conventional family public housing, 1,133 units of conventional elderly and elderly disabled public housing and 245 units of scattered site single and duplex housing. This housing is home to approximately 6,300 low-income residents. Additionally, 781 families remain on our public housing waiting list and approximately 3,300 on the pre-application list.

The PHA also administers approximately 2,800 hundred units of Section 8 rental assistance. Currently there are 437 households on our Section 8 waiting list and approximately 2,000 on a pre-application waiting list. It should be noted that those on the Section 8 waiting list may be public housing residents, and that many families are on multiple waiting lists throughout the State.

During the PHA's FY 2003 (July 1st 2002 June 30th 2003) the PHA operated 23 grants that were either received in that year or were received in prior years with funding available in FY 2003. In their entirety these grants totaled \$24,319,396.67 in spending authorization for capital improvements, security and social programs. Of this total, \$6,042,475.07 was actually spent during FY 2003. The remainder was spent either in prior years or is budgeted for upcoming years. The \$6,042,475.07 expended in FY 2003 represents a drop of \$890,867.10 from the amount expended in FY 2002.

Capital Improvements

During FY 2003 the Providence Housing Authority expended funding from four capital improvement grants received from the Department of Housing & Urban Development. The amount expended from these four grants in this period totaled \$4,606,128.03. Major accomplishments during this period include completing the first phase of a new card access/video monitoring security system at six elderly/disabled high-rise buildings, modernization of kitchens at Chad Brown/Admiral Terrace, initial activities for the development of a new community center at Hartford Park, the development of a new Facilities Management office at Hartford Park (almost completed), a new fire alarm system at Dexter Manor, new

underground irrigations systems at four elderly/disabled high-rises and interior and exterior renovations of two handicapped accessible scattered site units. All funds were provided by the U.S. Department of Housing And Urban Development.

Social Services

The PHA offers a wide range of programs and services for its residents. These programs and services are administered through the Department of Resident Services and its three divisions: the Supportive Services Division, the Youth Program Division, and the Education and Training Division.

Supportive Services Division

The Supportive Services Division oversaw seven grants during this period. Several of these grants covered multiple years. Combined, these grants totaled \$1,118,257.92 of which \$406,027.68 was expended in FY 2003. These grants funded Resident Service Coordinators, supportive services for frail elderly and disabled residents, family counseling, healthy pregnancy promotion and weekly visits from a diabetes case manager. Funding was provided by the U.S. Department of Housing and Urban Development, the Rhode Island Department of Administration, the Department of Children, Youth and Families Family Trust Fund and the March of Dimes.

Youth Program Division

The Youth Program Division oversaw six grants during this period. Several of these grants covered multiple years. Combined, these grants totaled \$2,116,363.00 of which \$620,463.58 was expended in FY 2003. These grants supported a youth health and pregnancy prevention program, the Public Housing Drug Elimination Program, the PHA Youth Council and youth computer programs. These funds were provided by the Rhode Island Department of Health, the U.S. Department of Housing And Urban Development and Brown University.

Education & Training Division

The Education & Training Division oversaw four grants during this period. Three of these grants covered multiple years. Combined, these grants totaled \$1,545,621.75 of which \$285,420.78 was expended in FY 2003. These grants funded the operations and overhead of the PHA's Employment Support Center, Family Self-Sufficiency Caseworkers, program instructors, overhead costs for operations at PHA community centers, the Education & Training Manager and other resident education and training related expenses. Funding was provided by the U.S. Department of Housing and Urban Development and the Rhode Island Department of Education.

Other

The PHA expended funds from two additional grants during this period for our elderly security program. These grants were funded by the Rhode Island Department of Elderly Affairs and the City of Providence. Combined these grants totaled \$124,435.00, all of which was expended during FY 2003.

For more detailed information concerning grants that were open or received during FY 2003 please see the table on the following page.

Providence Housing Authority Grants

| Grant Title | Funding Source | Major Activity Funded | Start / End Dates | Extensions | Funding Amount | Amount Expended in FY 2003 |
|--|--|--|-------------------|----------------------------|-----------------------|----------------------------|
| Department of Resident Services: Supportive Services Division | | | | | | |
| Resident Opportunity/ Supportive Services Housing Helps | U.S. Department of Housing and Urban Development | Supportive services -frail elderly and disabled | 3/01 – 3/04 | NA | \$100,000.00 | \$15,863.89 |
| Family Support Program | DCYF Children and Family Trust Fund | Family Counseling Program | FY 02-FY 04 | | \$50,000 | \$32,692.50 |
| Services Coordinator for Public Housing | U.S. Department of Housing and Urban Development | Resident Services Coordinators for Elderly and Elderly/disabled developments | 9/00 – 9/03 | NA | \$308,418.00 | \$8,022.57 |
| Resident Opportunity & Self-Sufficiency – Service Coordinators | U.S. Department of Housing and Urban Development | Service Coordinators | 9/01-9/04 | NA | \$314,586.00 | \$119,357.28 |
| Diabetes Control Program | RI Dept. of Administration | Weekly case manager visits & materials | 3/02-3/03 | NA | \$1,375.92 | \$1,375.92 |
| March of Dimes | March of Dimes | Healthy Pregnancies | 3/02-3/03 | NA | \$23,000.00 | \$1,502.46 |
| ROSS Service Coordinators | U.S. Department of Housing and Urban Development | Service Coordinators | 2/03-2/05 | After FY 2003 ¹ | \$320,878.00 | \$227,213.06 |
| Subtotals | | | | | \$1,118,257.92 | \$406,027.68 |
| Department of Resident Services: Youth Program Division | | | | | | |
| Youth Council Grant | Brown University | Youth Council | FY 03 | N/A | \$5,000.00 | \$2,087.24 |
| Minority Health Promotion | RI Department of Health | Health PHASE | FY 03 | NA | \$26,700.00 | \$26,700.00 |
| ROSS Neighborhood Network | U.S. Department of Housing and Urban Development | Computer education | 2/03-2/06 | NA | \$250,000.00 | \$58,389.50 |
| Public Housing Drug Elimination Program 10 | U.S. Department of Housing and Urban Development | STEPS Program | 2/00 – 3/01 | NA | \$580,643.00 | 32,593.09 |
| Public Housing Drug Elimination Program 11 | U.S. Department of Housing and Urban Development | STEPS Program | 12/00 – 12/02 | NA | \$605,149.00 | \$69,718.01 |
| Public Housing Drug Elimination Program 12 | U.S. Department of Housing and Urban Development | STEPS Program | 11/01-11/03 | N/A | \$648,871.00 | \$430,975.74 |
| Subtotals | | | | | \$2,116,363.00 | \$620,463.58 |

¹ HUD extended the grant and amended the total. This change is not reflected in the chart because it came after the close of FY 2003.

| Grant Title | Funding Source | Major Activity Funded | Start / End Dates | Extensions | Funding Amount | Amount Expended in FY 2003 |
|---|--|--|-------------------|------------|------------------------|----------------------------|
| Department of Resident Services: Education and Training Division | | | | | | |
| Family Investment Center | U.S. Department of Housing and Urban Development | Rehab. of 673 Academy Avenue, building overhead and instructional materials, two FSS case managers | 1995-9/02 | NA | \$997,500.00 | \$70,663.42 |
| Employment Support Center | Rhode Island Department of Education | Partial salaries of two instructors, audit costs | FY 03 | NA | \$31,256.00 | \$31,256.00 |
| Resident Opportunity & Self-Sufficiency | U.S. Department of Housing and Urban Development | Education and training manager, two FSS coordinators, administrative costs | 12/00-12/03 | NA | \$500,000.00 | \$174,371.25 |
| ESL Civics | Rhode Island Department of Education | ESL Classes | 10/01-10/03 | NA | \$16,865.75 | \$9,130.11 |
| Subtotals | | | | | \$1,545,621.75 | \$285,420.78 |
| Other | | | | | | |
| RI Elderly Security Grant | Rhode Island Department of Elderly Affairs | Security at PHA Elderly Developments | FY 2003 | NA | 78,435.00 | 78,435.00 |
| Elderly Security Program | City of Providence | Security at PHA Elderly Developments | FY 2003 | NA | 46,000.00 | 46,000.00 |
| Subtotals | | | | | \$124,435.00 | \$124,435.00 |
| Department of Facilities Management: Modernization Division | | | | | | |
| Comprehensive Grant Program 708 | U.S. Department of Housing and Urban Development | Public Housing capital improvements | 9/99-9/02 | NA | \$5,221,144.00 | \$22,409.23 |
| Capital Fund Program 50100 | U.S. Department of Housing and Urban Development | Public Housing capital improvements | 9/00-9/03 | NA | \$4,747,662.00 | \$1,253,880.36 |
| Capital Fund Program 50101 | U.S. Department of Housing and Urban Development | Public Housing capital improvements | 9/01-9/04 | NA | \$4,843,932.00 | \$1,876,713.42 |
| Capital Fund Program 50102 | U.S. Department of Housing and Urban Development | Public Housing capital improvements | 9/02-9/05 | | \$4,601,981.00 | \$1,453,125.02 |
| Subtotals | | | | | \$19,414,719.00 | \$4,606,128.03 |
| Totals | | | | | \$24,319,396.67 | \$6,042,475.07 |

Section Eight

Equal Housing Opportunity Program

The City's Equal Housing Opportunity Program is administered through the Providence Human Relations Commission and funded through the Community Development Block Grant administrative funds. The following are the activities under taken by the Commission during the program year.

ADVERTISING/COMMUNITY OUTREACH

MEDIA

A media program to enlighten the public about Fair Housing Laws was implemented by Public Service Announcements that were printed and distributed to radio stations, newspapers, and televisions stations.

FAIR HOUSING VIDEO

Staff put together a Fair Housing video utilizing the Fair Housing forums and speakers. The subject is "Discrimination in Housing" and "How to Prevent It". The video can be presented at high schools and community centers around the City and also nonprofit housing agencies.

LOCAL COMPLIANCE ACTIVITIES

MEETINGS ATTENDED JULY 1, 2002 – JUNE 30, 2003

| | |
|--|--------------------------------------|
| RI Attorney General's Office | Hate Crimes Training |
| Governor's Commission on Prejudice/Bias | Customs of the Muslim Culture |
| Civil Rights Round Table | Profiling of Arab Immigrants |
| RI Coalition for Affirmative Action | Racial Profiling Immigrant Deporting |
| Dr. Martin Luther King, Jr. | Annual Breakfast |
| Providence Housing Authority | Grievance Hearings |

RI Housing & Mortgage
Finance Corp.

Fair Housing Testing

Providence Housing court

Review of cases

RI Coalition/Homeless

More Housing Additional Funding

National Conference/
Community/Justice

Race Relations Racism

Greater Elmwood Nhd Assn.

Beautification and Street Traffic

RI Civil Rights Round Table

Providence Living Wage Bill

EHOP OUTREACH STATISTICS

| | |
|-------|-----|
| W/F | 199 |
| W/M | 80 |
| B/F | 42 |
| B/M | 08 |
| H/F | 25 |
| H/M | 29 |
| SEA/M | 03 |
| SEA/F | 03 |

TOTAL 395

FAIR HOUSING GRIEVANCES

Vincent McWilliams chaired the grievance committee at the Providence Housing Authority this past year. When people are denied housing, they have a right to request a grievance hearing. The panel is made up with an employee from the Providence Housing Authority, a tenant representative, and an independent person who acts as the chairperson. The tenant presents his or her case to the panel. The person can have legal representation, if they choose. The panel uses the PHA attorney after the grieving person presents their case. Questions are asked and answered through the panel. The panel then deliberates and makes a decision for or against the tenant.

HOMELESS

Vincent McWilliams attended a legislative meeting at the State House that was presented by the RI Coalition for the Homeless. New legislation was proposed for more housing and additional funding. Representatives Fox and Ciccilline and Senators Kells and Codere are sponsoring the legislation.

PREJUDICE/BIAS SUBCOMMITTEE

Staff attended the Governor's Commission on Prejudice/Bias on September 12, 2002 at the 4th Baptist Church in Providence. The Board Chairman, Norman Orendenker met with the Providence Journal's Editorial Board relative to future articles on the Commission's activities.

HABITAT FOR HUMANITY

Staff (F/S) met with Herman Dekoe, Director of Habitat for Humanity to stay in touch with what Habitat is doing. Habitat offers the working poor the opportunity to own a home through interest-free loans. The person is chosen through a lottery and must put in 500 hours of volunteer work on their home.

Self Evaluation of the Analysis of Impediments

The City of Providence, with DPD as the lead agency, produced a Analysis of Impediments inconjunction with the five-year Consolidated Planning Strategy. The AI process was a part of the CPS process and fair housing and equal opportunity questions and concerns were incorporated into the focus group process.

The Department of Planning and Development inconjunction with the working group – three staff members of the Department of Planning and Development, Project BASIC, Providence Human Relations Commission and representatives of the Providence Plan, Banking Industry, Providence Public Housing Authority (including a public housing tenant), Traveler's Aid Society, PROCAP and AIDSCARE/Ocean State evaluated the up to date AI based and developed the goals and objectives of the new five year strategy based on the focus group comments, interviews with housing providers and

the demographics obtained through various sources. DPD continues to review the progress points contained in the AI with all of our community partners.

To date, the City has been able to achieve the goal of providing computers and training to the Providence HRC. This is a giant step forward for them in terms of their ability to collect and analyze data. DPD with HRC have had several meetings with the RI Housing Resource Commission, RI HRC and the Cities of East Providence and Pawtucket to discuss a metropolitan - wide testing effort and a metropolitan-wide Fair Housing outreach and education effort. Presently all five organizations are stand-alone and our own analysis is that a concerted effort will increase the visibility of fair housing issues and remedies.

The RI HRC was awarded funds for testing during the program year, but to date, while there has been an implementation schedule set, RI HRC has not released any results. The City, partnering with the Cities of East Providence, Pawtucket and the RI Housing Resources Commission, will continue to meet to investigate a new mechanism for regionalizing fair housing efforts. We have met with a representative of the RI Mediation Center who has proposed that his organization may be positioned to take on a regional fair housing function. HUD staff from FHEO has participated in meetings with the City and our partners as well.

During the past year, city staff met with representatives of Project Basic to devise a means of settling the Consent Decree of 1991 that stipulated resources will be available from the City for the development of a 20 unit transitional housing facility for families. The City has been ordered to provide \$400,000 in cash, provide a building and \$50,000 in CDBG for predevelopment costs. This effort will continue into program year 29 and will hopefully conclude that year as well.

Accomplishments and Evaluation - Equal Opportunity

In the past year a total 21 projects were bid for a total of \$1,000,185.81 in contracting opportunities. Of that total – 4% - were awarded to state certified minority or women owned businesses. These contracts were funded through the CDBG, ESG and PEDC programs. The 4% award to minority/women owned businesses is significantly under the City goal of 10%. The Department is aggressively working to increase the amount of participation and the number of M/WBE participants. Prior to approving any contracts DPD staff will review M/WBE outreach and scheduled participation.

The 21 CDBG projects employed 131 laborers and journeymen. 82% were White, 3% were African American, 10% were Hispanic, 1% were Asian and 5% were women.

HOME

As Providence takes pride in its ethnic and cultural diversity a conscious effort is made to make sure that its programs and services are available to all citizens. Our partnerships with the community based housing organizations for both housing development and the marketing of homeownership and affordable rental opportunities has also provided substantial outreach into minority communities.

As a result of outreach efforts, PNHC has the following minority, participation rate for homebuyers of HOME assisted properties.

- | |
|-----------------------------------|
| • 60% Hispanic households |
| • 30% African American households |
| • 10% Non minority households |
| • 10% female head of households |

Renters of HOME Assisted property:

| |
|--|
| • 31% Hispanic households |
| • 28% African American households assisted |
| • 3% Asian or Pacific Islander |
| • 14% non-minority households assisted |
| • 24% female head of household |

The City plans to continue its minority outreach through the following means:

- Networking with neighborhood groups, and CHDO's (via workshops, seminars, etc.) to reach out to minority businesses and potential homebuyers and renters.
- Utilizing existing state and city agencies in identifying minority businesses.
- Identifying and maintaining an inventory of certified minority and women business enterprises, their capabilities, services, supplies and/or products.

Section 3 seeks to benefit businesses owned by low-income persons by providing contract opportunities. Specifically, businesses within targeted areas, controlled by or employing low and very low-income persons residing in the area are awarded contracts for work on Community Development Block Grant/HOME/ESG and HOPWA funded projects. Outreach efforts on projects funded through the consolidated programs consistently strive to meet these goals. As previously stated, advertisement in the local media and direct outreach to local businesses are the most significant action taken by the City to reach out to low and very low-income businesses and residents. The City's significant non-profit network is a primary source of information regarding contract and employment opportunities

HOME Program activities as administered by PNHC are available to and directly benefit minorities and women. As a result of outreach efforts by PNHC and the CHDOs and the use of the CM process, 55% of all business contracts went to minority owned businesses in program Year 28 and 60% of all sub-contracts were awarded to minority/women owned businesses.

At the close of the program year in June of 2003, the PEDC disbursed loan funds to a total of 35 loans for the year. Of these loans, 12 (34%) were to minority enterprises, 13 (37%) went to women owned firms, and 29 loans (83%) were located in a low/moderate income census tract.

Section Nine

Citizen Participation

The Department of Planning and Development will hold a three public hearing on the results and accomplishments of the Year 28 program in January and February/March 2003 to solicit public comment on the Consolidated Annual Performance and Evaluation Report. The hearing will be designed to review the accomplishments along with the goals of the CPS. The availability of the CAPER will be advertised in December 2003 in the Providence Journal, Providence American and Latino Prestigo. The availability of the report will also posted on the City's website and posted at 400 Westminster Street and with City Clerk's office.

Section Ten

Evaluation of the Providence Annual Plan for 2002-2003

During the 28th program year the City undertook the process of implementing the second year of the five-year consolidated planning Strategy.

The Providence Annual Plan for 2002 to 2003 complies with the goals and objectives set forth in the Consolidated Planning Strategy that, along with the associated planning documents, has been the primary guide for the city's CDBG, HOME and ESG programs. The program continues to be well balanced reaching out to all segments of the eligible population – children, youth, elderly, the disabled, families and individuals

This program year has seen the City make significant progress in the identified strategic areas. The neighborhood development plans – most notably, Broad Street/Elmwood Ave. and West End – have made significant progress toward completion and/or obligation of funds. Housing nonprofits are continuing to expand and strengthen program delivery capabilities. Housing unit production is expected to further increase as program delivery systems and community outreach is expanded.

The Citywide economic development initiative continues to grow – 35 businesses participated in the revolving loan program. The program allowed businesses to retain 52 jobs and create 363 new jobs. The economic development staff continues it's strong outreach effort to low and moderate-income businesses and provides them with a variety of technical support services.

The City of Providence has stayed within the 20% Public Service cap, the 20% Administrative and Planning cap and has continued the timely expenditure of funds. As neighborhood based organizations and nonprofits continue to gather expertise the rate of expenditure will remain consistent. The City has meet all the obligations of the CDBG, HOME, ESG and HOPWA programs and has made substantial progress in meeting the goals set forth in the CPS. The

City continues to encourage the development of networks between the public service grantees on a Citywide and regional basis.

Performance Measurement Systems

In conformance with CPD-03-09 - Performance Measurement Systems – the City of Providence, in conjunction with Region I of the National Community Development Association, is reviewing performance outcome measures for all of the HUD consolidated programs. Currently the City has a performance review and allocation for the HOME program that has been in place for five years. The Region I working group will be scheduling a meeting in mid-December to begin the discussion, review local models and work with HUD.

At this time, the City of Providence is developing an output database that will be posted on the web. Citizens will be able to track a variety of outputs that will illustrate progress in our neighborhoods – lots cleaned, vacant lots sold, housing applications issued. For the Department of Planning and Development - HUD programs, our IDIS data will serve as the basis of our “PROVSTAT” effort. In January we will be meeting with current and potential subgrantees to introduce them to the performance measurement concept as it relates to the CPS and citywide goals.

CAPER – Five Year Goals for the CDBG program

2000 – 2001 Funding Sources utilized

| IDENTIFIED GOALS | CDBG | HOME | ESG/HOPWA | Other Resources |
|---|------|------|-----------|-----------------|
| HOUSING | | | | |
| Rehabilitation of Units | X | | X | X |
| Rental Assistance | | | X | X |
| Homebuyer Assistance | X | X | | X |
| New Construction | | X | | |
| Acquisition | X | X | | |
| Special Needs Housing & Support | | X | X | X |
| NON HOUSING NEEDS | | | | |
| Neighborhood Revitalization | X | X | | X |
| ED Activities | X | | | X |
| Public Services | X | | X | X |
| Enhancement of Planning & Management Capabilities | X | X | X | X |

Please find Leveraging Information for all CDBG/ESG/HOPWA programs attached the end of this section.

PROJECTS Certified by the City of Providence for consistency with the CPS during Year 28

Rhode Island Housing and Mortgage Finance Corp.

Supportive Services

Urban League – Service Expansion

Housing Locator program
Providence Center Kids Start
RI Veterans Action Center

Supportive Housing

Amos House
Advent House
People to End Homelessness
MAP Alcohol & Drug Rehabilitation
Nickerson Community Center

Housing Counseling

West Elmwood Housing Development Corporation

Healthy Homes

Rhode Island Housing

ESG

Advent House
RI Coalition for the Homeless
Traveler's Aid Society of RI
Amos House
Women's Center

Serenity House
McAuley Corp.
People to End Homelessness
Maranantha Community Outreach

Resident Opportunities and Self Sufficiency

ACORN Tenant Union Organizing Project

Youth Build

Providence Plan

Fair Housing

RI Legal Services
Housing Network of RI
ACORN

Lead Outreach Grant

RI Housing Resource Commission

CDBG 28TH YEAR LEVERAGED PROJECTS

| PROGRAM | YR28 | OTHER SOURCES |
|-------------------------------|---------------|-----------------|
| CDBG/ESG and HOPWA | (2002-2003) | OF FUNDS |
| AMEN | \$ 21,597.00 | \$ 58,745.00 |
| Black Repertory Co. | \$ 15,000.00 | \$ 2,116,000.00 |
| Childrens's Museum | \$ 100,000.00 | \$ 53,442.00 |
| CHISPA | \$ 25,000.00 | \$ 15,000.00 |
| City Arts | \$ 50,000.00 | \$ 583,000.00 |
| Dorcas Place | \$ 50,000.00 | TBD |
| Elmwood CC | \$ 20,000.00 | \$ 45,000.00 |
| Elmwood Foundation | \$ 104,628.00 | \$ 196,550.00 |
| FACTS/AIDS -HOPWA | \$ 367,505.00 | \$ 676,400.00 |
| Family Service | \$ 20,000.00 | \$ 1,121,401.00 |
| Federal Hill House | \$ 20,000.00 | \$ 45,000.00 |
| Genesis Center | \$ 20,000.00 | \$ 91,647.00 |
| GENS | \$ 37,916.00 | \$ 217,503.00 |
| Golf Fndation RI/Buttn Hole | \$ 10,000.00 | N/A |
| Good News Housing | \$ 6,307.00 | \$ 286,000.00 |
| House of Compassion | \$ 79,917.00 | \$ 184,000.00 |
| Instit. Study of Non-Violence | \$ 30,000.00 | \$ 300,000.00 |
| Joslin CC | \$ 15,000.00 | \$ - |
| Mt. Hope Daycare | \$ 35,000.00 | \$ 15,000.00 |
| Mt. Hope Land Trust | \$ 4,799.00 | \$ - |
| Mt. Hope Learning Ctr. | \$ 40,000.00 | \$ 45,000.00 |
| Mt. Pleasant Neighborhood | \$ 30,000.00 | |
| Nickerson | \$ 20,000.00 | \$ - |
| Olneyville Hsng Corp | \$ 17,771.00 | \$ 155,781.00 |
| OMNI | \$ 59,515.00 | \$ 75,128.00 |
| PPAC | \$ 143,000.00 | \$ 1,450,000.00 |
| PPL | \$ 130,113.00 | \$ - |
| PPS Revolving Fund | \$ 160,065.00 | \$ 191,040.00 |
| Prv. Maritime Heritage Fnd | \$ 10,000.00 | \$ - |
| RI Community Food Bank | \$ 20,000.00 | \$ 722,495.00 |
| RI Indian Council | \$ 50,000.00 | \$ 91,000.00 |
| S.Side Land Trust | \$ 10,000.00 | \$ 9,950.00 |
| Salvation Army | \$ 80,000.00 | \$ 882,000.00 |
| Serenity House | \$ 3,243.00 | \$ 34,000.00 |

CDBG 28TH YEAR LEVERAGED PROJECTS

| | | |
|------------------------------------|-----------------|------------------|
| Silver Lake CC | \$ 15,000.00 | \$ - |
| Smith Hill CDC | \$ 91,191.00 | \$ 18,400.00 |
| Smith Hill Neighborhood | \$ 30,000.00 | |
| Socio Econ. Dev. So. East Asians | \$ 11,000.00 | \$ - |
| Sojourner House | \$ 20,000.00 | \$ 165,000.00 |
| SPDC | \$ 150,000.00 | \$ 1,907,522.00 |
| SWAP | \$ 139,202.00 | \$ 146,550.00 |
| The Ctr. for Advance Minority Part | \$ 22,000.00 | \$ 1,150,000.00 |
| The Turning | \$ 29,000.00 | \$ 70,000.00 |
| Trinity Repertory Co. | \$ 143,000.00 | \$ 4,800,000.00 |
| W Elmwood HDC | \$ 133,226.00 | \$ 588,000.00 |
| WBNA | \$ 50,000.00 | \$ 147,175.00 |
| West End Neighborhood | \$ 30,000.00 | |
| Westminster Street | \$ 50,000.00 | |
| Women's Dev. Corp | \$ 8,638.00 | \$ 621,750.00 |
| YMCA- Downtown | \$ 50,000.00 | \$ 500,000.00 |
| | \$ 2,778,633.00 | \$ 19,775,479.00 |

COMMUNITY DEVELOPMENT BLOCK GRANT, 28PY
TOTAL AGENCY FUNDING LEVELS BY SOURCE

| | PERSONNEL FUNDING & SOURCES | | | | NONPERSONNEL FUNDING & SOURCES | | | |
|--------------------|-----------------------------|------------|------------|---------|--------------------------------|------------|-----------|---------|
| | UNITED WAY | FOUNDATION | OTHER | CDBG | UNITED WAY | FOUNDATION | OTHER | CDBG |
| ALS | | | 312,480 | 7,560 | | | 113,710 | 6,187 |
| CAPITAL CITY CC | | | 1,285,360 | 80,550 | | | 1,108,268 | 34,925 |
| CHISPA | | 15,000 | 140,600 | 17,736 | | 9,458 | 81,338 | 7,264 |
| DAVINCI CENTER | | | 366,823 | 55,133 | | | 199,445 | 32,628 |
| EAST SIDE YMCA | | | 1,321,073 | 5,680 | | | | 4,320 |
| ELMWOOD CC | | | 251,487* | 98,711 | | | | 19,883 |
| FEDERAL H. HOUSE | 174,200 | 64,405 | 559,504 | 25,396 | | | 966,504 | 2,318 |
| FEDERAL H. TUTOR | | | 4,500 | 22,970 | | | | 13,982 |
| FRUIT HILL DAYCR. | | | 275,947 | 4,619 | | | 224,163 | |
| GROUNDWK PROV | | | 201,717 | 35,000 | | | 234,883 | 11,700 |
| HARTFORD PK.CC | | | 65,120 | 58,664 | | | 15,940 | 15,240 |
| HMONG UNITED ASN | | | 31,000 | 5,320 | | | 9,423 | 9,680 |
| INTERN'L INSTITUTE | 95,520 | 130,516 | 1,040,599 | 18,000 | | | 902,806 | |
| JOHN FOGARTY | | | 8,835,426* | 9,534 | | | | 6,171 |
| JOHN HOPE S.H. | | | 4,990,148* | | | | | 5,000 |
| JOSLIN CC | | | 87,080 | 43,000 | | | 47,553 | 30,904 |
| MT. HOPE NEIGH. | | | 28,290 | 84,600 | | | 17,260 | 20,094 |
| NICKERSON CC | 155,000 | 156,000 | 1,796,648 | 18,476 | | | 1,971,125 | |
| PROV. BLACK REP | | | 537,598* | 22,500 | | | | |
| PROV. CAP | 61,090 | | 2,025,007 | 224,437 | 54,910 | | 1,773,968 | 109,312 |
| PROV CENTER TAL. | | | 895,378* | | | | | 13,095 |
| PROV. HEALTH CTR | | | 8,272,489 | 19,858 | | | 12,945 | 5,142 |
| RI DONATION EXC. | | 10,000 | 140,000 | | | | 143,995 | 10,000 |
| RGR WILLIAMS DC | 29,843 | | 1,202,085 | 33,095 | | | 517,249 | |
| SILVER LAKE CC | | | 298,797 | 85,912 | | | 312,332 | 9,968 |
| SOUTH PROV TUTOR | | 96,016 | 52,190 | 25,804 | | 54,527 | 4,506 | 14,843 |
| S.SIDE LAND TRUST | | 32,000 | 90,500 | 17,900 | | 41,000 | 46,429 | 5,293 |
| URBAN LEAGUE | 126,326 | 24,000 | 5,029,702 | 42,532 | | | 26,903 | 7,468 |
| VEVA | | | 105,273 | 11,689 | | | 61,499 | 6,787 |

| | UNITED WAY | FOUNDATION | OTHER | CDBG | UNITED WAY | FOUNDATION | OTHER | CDBG |
|---|------------|------------|----------|---------|------------|------------|---------|--------|
| WASH.PK.CC | | | 340,493 | 103,667 | | | 224,421 | 11,798 |
| WEST END CC | | | 123,537 | 124,713 | | | 220,503 | |
| WESTMINSTER SR. | | | 349,886* | | | | | 10,000 |
| WOMEN & INFANTS | | | | | | | | |
| Please Note: In some instances the Non-CDBG Funds are shown only in "Other" | | | | | | | | |
| * Personnel and Non-Personnel funds combined | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |

Section Eleven

Match Contributions for
Federal Fiscal Year: 02-03

| | | | | | |
|--|--|---|-----------------------|--|--|
| 1. Participant No: (assigned by HUD) MC440201 | | 2. Name of the Participating Jurisdiction: Providence Neighborhood Housing Corporation | | 3. Name of Contact: (person completing this report) Jameela Dunston | |
| 5. Street Address of the Participating Jurisdiction: 400 Westminster Street | | | | 4. Contact's Phone No. (include area code): (401) 351-4300 | |
| 6. City: Providence | | 7. State: RI | 8. Zip Code: 02903 | | |

| | | |
|--|--------------|--------------|
| 1. Excess match from prior federal fiscal year | \$ 1,429,805 | |
| 2. Match contributed during current federal fiscal year (see Part III.9.) | \$ 1,675,235 | |
| 3. Total match available for current federal fiscal year (line 1 + line 2) | | \$ 3,105,040 |
| 4. Match liability for current federal fiscal year | | \$ 604,546 |
| 5. Excess match carried over to next federal fiscal year (line 3 - line 4) | | \$ 2,500,494 |

[illegible]

| | | | | | | | | | |
|----------------------------|-------------------------|-----------------------|-------------------|----------------------|-------------------|----------------------|------------------|----------------------|---------------|
| July 1, 2002-June 30, 2003 | | | | | | | | | |
| Homeownership Rehab | | Rental Rehabilitation | | Homebuyer assistance | | New Construction | | Special needs | |
| Amount of HOME Funds | rehabilitation of units | Amount of HOME Funds | rental assistance | Amount of HOME Funds | homebuyer assist. | Amount of HOME Funds | New construction | Amount of HOME Funds | Special Needs |
| \$38,800 | 1 | \$80,000 | 3 | | | \$97,711 | 2 | \$129,750 | 8 |
| \$117,250 | 2 | \$77,695 | 2 | | | \$24,955 | 1 | | |
| \$42,650 | 1 | \$50,000 | 3 | | | \$100,000 | 2 | | |
| \$80,804 | 2 | \$50,000 | 2 | | | \$100,000 | 2 | | |
| | | \$414,300 | 5 | | | \$25,000 | 1 | | |
| | | | | | | \$75,000 | 2 | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| \$279,504 | 6 | \$671,995 | 15 | \$0 | 0 | \$422,666 | 10 | \$129,750 | 8 |
| Total Units | 39 | | | | | | | | |
| %s | 15% | | 38% | | 0% | | 26% | | 21% |

| Completed HOME Activities for July 1, 2002 to June 30, 2003 | | | | | | | | |
|---|------------------|-------------------------|--------------|-----------------------------|---------------|------------------|--------------|--|
| Housing Non-Profit | Activity | Total Development Costs | HOME Funds | Leverage from Other Sources | Total # units | Home-owner units | Rental Units | |
| Pro-Cap | 187 Admiral | 135,000.00 | 80,000.00 | 55,000.00 | 3 | | 3 | |
| West Elmwood Hsg | 204 Bellevue | 189,711.00 | 97,711.00 | 92,000.00 | 2 | 1 | 1 | |
| Urban League | 664 Broad | 77,695.00 | 77,695.00 | 0.00 | 2 | | 2 | |
| SWAP | 213 Burnside | 103,955.00 | 24,955.00 | 79,000.00 | 1 | 1 | | |
| SWAP | 97 Byfield | 227,165.00 | 100,000.00 | 127,165.00 | 2 | 1 | 1 | |
| SWAP | 101 Byfield | 221,470.00 | 100,000.00 | 121,470.00 | 2 | 1 | 1 | |
| Smith Hill CDC | 171 Chad Brown | 105,000.00 | 25,000.00 | 80,000.00 | 1 | 1 | | |
| SWAP | 26 Frank | 127,800.00 | 38,800.00 | 89,000.00 | 1 | 1 | | |
| Amos House | 414 Friendship | 327,250.00 | 129,750.00 | 197,500.00 | 8 | | 8 | |
| Smith Hill CDC | 54 Goddard | 332,000.00 | 50,000.00 | 282,000.00 | 3 | | 3 | |
| Omni Development | 60 Harvard | 115,550.00 | 50,000.00 | 65,550.00 | 2 | | 2 | |
| Elmwood Foundation | 112 Melrose | 193,750.00 | 117,250.00 | 76,500.00 | 2 | 1 | 1 | |
| Olneyville Housing | Olneyville Redux | 1,575,000.00 | 414,300.00 | 1,160,700.00 | 5 | | 5 | |
| Smith Hill CDC | 71 Pekin | 170,000.00 | 75,000.00 | 95,000.00 | 2 | 1 | 1 | |
| SWAP | 397 Pine | 131,650.00 | 42,650.00 | 89,000.00 | 1 | 1 | | |
| West Elmwood Hsg | 86 Superior | 172,804.00 | 80,804.00 | 92,000.00 | 2 | 1 | 1 | |
| TOTALS | | 4,070,800.00 | 1,423,915.00 | 2,646,885.00 | 36 | 7 | 26 | |

[illegible]

2003
HOME APR Contractor's

| Closed Projects | | | | | | | | | | | | | | |
|----------------------------|-----------------|-----------------|-----------------------------------|----------------|---------------------------|----------------|--------------------|----------------|----------|----------------|----------------|----------------|--------------------|----------------|
| July 1, 2002-June 30, 2003 | | | Contracts | | | | | | | | | | | |
| | Project Address | Developer | Alaskan Native or American Indian | \$ of contract | Asian or Pacific Islander | \$ of contract | Black non-hispanic | \$ of contract | Hispanic | \$ of contract | women business | \$ of contract | white non hispanic | \$ of contract |
| | | | | | | | | | | | | | | |
| 187 | Admiral | Pro-Cap | 1 | 10,000.00 | 1 | 10,000.00 | 1 | 10,000.00 | 1 | 10,000.00 | | | | |
| 204 | Bellevue | WEHDC | | | | | 1 | 141,700.00 | | | | | 1 | 9,600.00 |
| 664 | Broad | Urban League | | | | | 1 | 40,000.00 | 1 | 35,000.00 | | | | |
| 213 | Burnside | SWAP | | | | | 1 | 90,750.00 | | | | | | |
| 97 | Byfield | SWAP | | | | | | | | | | | 1 | 151,000.00 |
| 101 | Byfield | SWAP | | | | | | | | | | | 1 | 153,000.00 |
| 171 | Chad Brown | Smith Hill CDC | | | | | | | | | | | 1 | 25,000.00 |
| 26 | Frank | SWAP | | | | | | | | | | | 1 | 93,550.00 |
| 414 | Friendship | Amos House | | | | | | | | | 1 | 128,337.00 | | |
| 54 | Goddard | Smith Hill CDC | | | | | | | | | | | 1 | 50,000.00 |
| 60 | Harvard | Omni Develop. | | | | | 1 | 31,433.00 | | | | | | |
| 112 | Melrose | Elmwd. Found. | | | | | | | | | | | 1 | 156,000.00 |
| | Olney. Redux | Olneyville Hsg. | | | | | | | | | | | | |
| 71 | Pekin | Smith Hill CDC | | | | | | | | | | | 1 | 50,000.00 |
| 397 | Pine | SWAP | | | | | 1 | 94,000.00 | | | | | | |
| 86 | Superior | WEHDC | | | | | 1 | 94,500.00 | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | TOTALS | | 1 | 10,000.00 | 1 | 10,000.00 | 7 | 502,383.00 | 2 | 45,000.00 | 1 | 128,337.00 | 8 | 688,150.00 |
| | | | | | | | | | | | | | | |
| | TOTAL CONTRACTS | | 20 | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | % OF CONTRACTS | | | | 5% | | 35% | | 10% | | 5% | | 40% | |

2003 HOME APR

Sub-Contractor's

| Closed Projects | | | Sub- | | | | | | | | | | | |
|----------------------------|-----------------|-----------------|--------------------------------------|----------------|---------------------------------|----------------|------------------------|----------------|----------|----------------|-------------------|----------------|-----------------------|----------------|
| July 1, 2002-June 30, 2003 | | | Contracts | | | | | | | | | | | |
| | Project Address | Developer | Alaskan Native or American Indian | \$ of contract | Asian or Pacific Islander | \$ of contract | Black non- hispanic | \$ of contract | Hispanic | \$ of contract | women business | \$ of contract | white non hispanic | \$ of contract |
| 187 | Admiral | Pro-Cap | 1 | 8,000.00 | | | 1 | 8,000.00 | | | | | 4 | 30,550.00 |
| 204 | Bellevue | WEHDC | | | 1 | 15,000.00 | | | | | | | 3 | 54,700.00 |
| 664 | Broad | Urban League | | | | | | | | | | | | |
| 213 | Burnside | SWAP | | | | | | | | | | | | |
| 97 | Byfield | SWAP | | | | | | | | | | | | |
| 101 | Byfield | SWAP | | | | | | | | | | | | |
| 171 | Chad Brown | Smith Hill CDC | | | | | | | | | | | | |
| 26 | Frank | SWAP | | | | | | | | | | | | |
| 414 | Friendship | Amos House | | | | | | | | | | | | |
| 54 | Goddard | Smith Hill CDC | | | | | | | | | | | | |
| 60 | Harvard | Omni Develp. | | | | | | | | | | | | |
| 112 | Melrose | Elmwd. Found. | | | | | | | | | | | | |
| | Olney. Redux | Olneyville Hsg. | | | | | 3 | 250,433.00 | | | 1 | 85,000.00 | 1 | 7,000.00 |
| 71 | Pekin | Smith Hill CDC | | | | | 1 | 25,000.00 | | | | | | |
| 397 | Pine | SWAP | | | | | | | | | | | | |
| 86 | Superior | WEHDC | | | | | | | | | 4 | 48,500.00 | | |
| | | | | | | | | | | | | | | |
| | TOTALS | | 1 | 8,000.00 | 1 | 15,000.00 | 5 | 283,433.00 | 0 | 0.00 | 5 | 133,500.00 | 8 | 92,250.00 |
| | | | | | | | | | | | | | | |
| | TOTAL CONTRACTS | | 20 | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | % OF CONTRACTS | | 5% | | 5% | | 25% | | 0% | | 25% | | 40% | |