

WORRELL, PASSANANTI & RADOCCIA, INC.

144 Westminster Street
Providence, Rhode Island 02903
(401) 272-7070

May 24, 1984

CERTIFIED #P09 8005993 - RRR

Ms. Rose Mendonca, City Clerk
City of Providence
City Hall
Providence, RI 02901

IN CITY COUNCIL
SEP 6 1984
READ
WHEREUPON IT IS ORDERED THAT
THE SAME BE RECEIVED.
Rose M. Mendonca CLERK

RE: Executive Order No. 6; Disclosure of Conflicts of Interest

Dear Ms. Mendonca:

In compliance with the above Executive Order dated May 9, 1984, I am enclosing a copy of the statement which I filed with the Conflict of Interest Commission for the State of Rhode Island dated April 5, 1984.

I do not conceive that any of the businesses with which I am connected might create a conflict of interest as long as I am a member of the Investment Commission. I state that because under no circumstances would I allow any of those businesses to have any connection with the Investment Commission on which I serve. Notwithstanding, I realize that all of those businesses (other than my wife's landscape design business) are active in the financial world and so I guess you might say the possibility did exist.

As you know, I have informed the City Council in writing on a number of occasions in the past of my connection with New England Trust Company, which has managed some of the assets of the City Employees Retirement Fund for the last eight or nine years.

I trust that this letter is in total compliance with Executive Order No. 6. Please sign and return the enclosed copy of this letter to evidence receipt of the same.

Very truly yours,

WORRELL, PASSANANTI & RADOCCIA, INC.

Richard D. Worrell
Richard D. Worrell

RDW:kl

Enclosures: (1) Conflict of Interest Statement; (2) RW ltr to Rbt. Haxton dtd 1/11/77

cc: Hon. Joseph R. Paolino, Jr. - Certified #P09 8005994

IN CITY COUNCIL
JUN 7 1984
FIRST READING
REFERRED TO COMMITTEE ON

IN CITY COUNCIL

THE BYNE BE HOFMANN
AMERICAN ASSOCIATION
HEAD

Rosenman
CLERK

MAY 29 11 38 AM '84

DEPT. OF CITY CLERK
PROVIDENCE, R.I.

FILED

RICHARD D. WORRELL
54 IRVING AVENUE
PROVIDENCE, RHODE ISLAND 02906

January 11, 1977

Personal and Confidential

The Honorable Robert Haxton
President
Providence City Council
City Hall
Providence, RI 02903

Dear Mr. Haxton,

On June 22, 1977, I wrote to you as President of the City Council pursuant to Section 2.14 of the City Charter to inform the Council that as of June 30, 1976, I would acquire stock in New England Trust Company, a Rhode Island Trust Company providing investment advisory services to the City Employees Retirement System. *s/b 1976*

This letter is to advise the Council, formally, that I actually acquired such stock in my own name on October 19, 1976. I felt it would be proper to write this second letter, in accordance with Section 2.14 of the Charter, reflecting the correct dates and ownership.

Very truly yours,

Richard D. Worrell

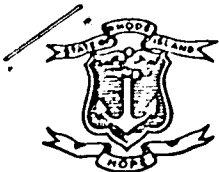
RDW:skc

cc: Vincent Vespia, City Clerk

FILED

MAY 29 11 38 AM '84

DEPT. OF CITY CLERK
PROVIDENCE, R.I.



DUE BY APRIL 27, 1984

84 FS-1

Conflict of Interest Commission

YEARLY FINANCIAL STATEMENT

RICHARD D. WORRELL
54 IRVING AVENUE
PROVIDENCE RI 02906

ALL QUESTIONS REFER TO THE CALENDAR YEAR JANUARY 1, 1983 THROUGH DECEMBER 31, 1983 UNLESS OTHERWISE SPECIFIED.

Please answer all questions and where your answer is "none" or "not applicable" so state. Answers should be printed or typed, and additional sheets may be used if more space is needed. For clarification of any question, read instruction sheet.

1. WORRELL, RICHARD D.
NAME OF OFFICIAL (LAST) (FIRST) (INITIAL)

2. 54 IRVING AVENUE, PROVIDENCE, RI 02906
HOME ADDRESS (STREET) (CITY/TOWN) (ZIP CODE)

3. List Public Office(s) you hold and governmental unit:
Investment Commission - City of Prov.
(PUBLIC OFFICE) (MUNICIPALITY, STATE OR REGIONAL)

.....
(PUBLIC OFFICE) (MUNICIPALITY, STATE OR REGIONAL)

I was elected in ~~1983~~ I was appointed in 1983.

If you no longer hold office, state year of termination or resignation

4. If you are a candidate, state the office you seek. (Read instruction #4)
..... *NA.*

5. List the following:

NAME OF SPOUSE	NAME(S) OF DEPENDENT CHILD OR CHILDREN
Mary M. Worrell	James, Elizabeth, Hope

6. List the names of any employer from which you, your spouse, or dependent child received \$1,000 or more gross income. If self-employed, list any occupation from which \$1,000 or more gross income was received. If employed by a state or municipal agency, or if self-employed and services were rendered to a state or municipal agency for an amount of income in excess of \$250, list the date and nature of services rendered. (DO NOT LIST AMOUNTS).

NAME OF FAMILY MEMBER EMPLOYED	NAME AND ADDRESS OF EMPLOYER OR OCCUPATION	DATES AND NATURE OF SERVICES RENDERED
Self	WORRELL, PASSANANTI & RADOCCIA, INC. 144 Westminster St., Providence, RI	PENSION & INVESTMENT CONSULTANT 1980- " Date
	NEW ENGLAND TRUST COMPANY 144 Westminster St., Providence, RI	" "
	RDW, Inc. 144 Westminster St., Providence, RI	" "
	LAUDERDALE INVESTMENTS 144 Westminster Street, Providence, RI	" "
Mary Worrell (wife)	MARY WORRELL LANDSCAPE DESIGN	"

7. List the address or legal description of all Rhode Island real estate, other than your principal residence, in which you, your spouse, or dependent child had a financial interest.

NAMES	NATURE OF INTEREST	ADDRESS OR DESCRIPTION
<i>Self</i>	Partner	144 Westminster Street - Bldg., Land & Parking Lot (office bldg.)
<i>Self</i>	Partner	Davol Square (shopping area)

8. List name of any trust, name and address of the trustee of any trust, from which you, your spouse, or dependent child or children individually received \$1,000 or more gross income. List assets if known. (DO NOT LIST AMOUNTS.)

NAME OF TRUST:Magavern Family Trust.....

NAME OF TRUSTEE AND ADDRESS:S.D. Magavern.....

.....302 Lincoln Parkway; Buffalo; NY.....

NAME OF FAMILY MEMBER

RECEIVING TRUST INCOME: ..Spouse.....

ASSETS: *stocks, Bonds, Real Estate*

9. List the name and address of any business, profit or non-profit, in which you, your spouse, or dependent child held a position as a director, officer, partner, trustee, or a management position.

NAME OF FAMILY MEMBER	NAME AND ADDRESS OF BUSINESS	POSITION
Self	WORRELL, PASSANANTI & RADOCCIA, INC. 144 Westminster Street, Providence, RI	Officer & Director
	NEW ENGLAND TRUST COMPANY 144 Westminster Street, Providence, RI	"
	RDW, Inc. 144 Westminster Street, Providence, RI	"
	LAUDERDALE INVESTMENTS 144 Westminster Street, Providence, RI	"

10. List the name and address of any person, business entity, or other organization which has made total gifts or total contributions of \$100 or more in cash or property during calendar year 1983 to you, your spouse, or dependent child. Certain gifts from relatives and certain campaign contributions are excluded. (See instruction #10)

NAME OF PERSON RECEIVING
GIFT OR CONTRIBUTION

NAME AND ADDRESS OF PERSON OR ENTITY
MAKING GIFT OR CONTRIBUTION

NONE

11. List the name and address of any business in which you, your spouse, or dependent child individually or collectively hold a 10% ownership interest or greater, or a \$5,000 or greater ownership or investment interest.

NAME OF FAMILY MEMBER

NAME AND ADDRESS OF BUSINESS

Self

WORRELL, PASSANANTI & RADOCCIA, INC.
144 Westminster Street, Providence, RI
NEW ENGLAND TRUST COMPANY
144 Westminster Street, Providence, RI
RDW, Inc.
144 Westminster Street, Providence, RI
LAUDERDALE INVESTMENTS
144 Westminster Street, Providence, RI
MARY WORRELL LANDSCAPE DESIGN
54 Irving Avenue, Providence, RI

Mary M. Worrell (Wife)

12. If any business listed in #11 did business in excess of \$250 for each transaction in calendar year 1983 with a state or municipal agency, AND you are a member or employee of the agency or exercise direct or legislative control over the agency, list the following:

NAME AND ADDRESS
OF BUSINESS

NAME OF
AGENCY

DATE AND NATURE OF
TRANSACTION

NONE

13. If any business listed in #11 was a business entity subject to direct regulation by a state or municipal agency, and you are a member or employee of the agency or exercise direct or legislative control over the agency, list the following:

NAME AND ADDRESS
OF BUSINESS

NAME OF REGULATING
AGENCY

NONE

14. If you, your spouse, or dependent child individually or collectively acquired or divested a 10% ownership interest or a \$5,000 or greater ownership or investment interest in a business after January 1, 1984 and before the date you file this statement AND if said business was regulated by a state or municipal agency of which you are an employee or a member, or over which you exercise direct or legislative authority, list the following:

NAME AND ADDRESS
OF BUSINESS

DESCRIPTION OF INTEREST (NOT AMOUNT)
AND DATE ACQUIRED AND/OR DIVESTED

NONE

NAME OF REGULATING
AGENCY

HOW REGULATED


NONE

15. If you, your spouse, or dependent child acquired or divested 10% or greater ownership interest, or a \$5,000 or greater ownership or investment interest in any business after January 1, 1984, and before the date you file this statement, which did business in excess of \$250 with a state or municipal agency of which you are an employee or a member, or over which you exercise direct or legislative authority, list the following:

NAME AND ADDRESS OF BUSINESS	DESCRIPTION OF INTEREST, DATE ACQUIRED AND/OR DIVESTED (DO NOT INCLUDE AMOUNT)	NAME OF STATE OR MUNICIPAL AGENCY
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NONE

I acknowledge the above answers to be true and complete answers to the questions presented.

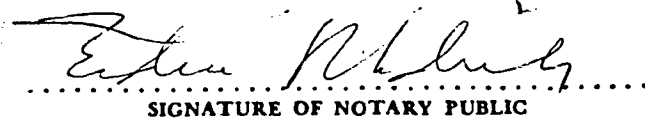

SIGNATURE(S)

State of Rhode Island

County of... Providence

Subscribed and sworn to by the above-signed public official(s)

at ... Providence ... before me this ... 5TH ... day of ... April ...
CITY/TOWN
1984.


SIGNATURE OF NOTARY PUBLIC

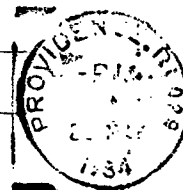
My Commission expires:

6-30-86
.....

THIS STATEMENT WILL BE RETURNED IF IT IS NOT
SIGNED AND NOTARIZED.

WORRELL, PASSANANTI & RADOCCIA, INC.

er Street
hode Island 02903



CLAIM CHECK
NO.

502359

☐ HOLD

DATE

1ST NOTICE

2ND NOTICE

RETURN

Detached from
PS Form 3849-A
Oct. 1980



Ms. Rose Mendonca, City Clerk
City of Providence
City Hall
Providence, RI 02901

FILED

MAY 29 11 20 AM '84

DEPT. OF CITY CLERK
PROVIDENCE, R.I.
